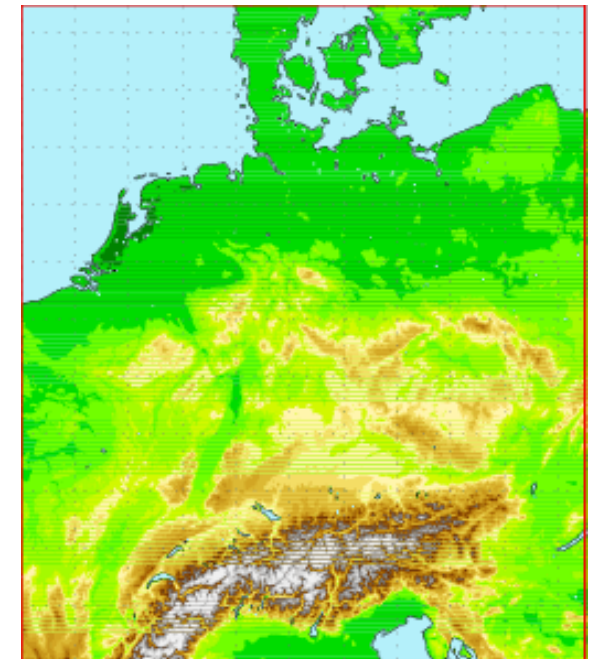


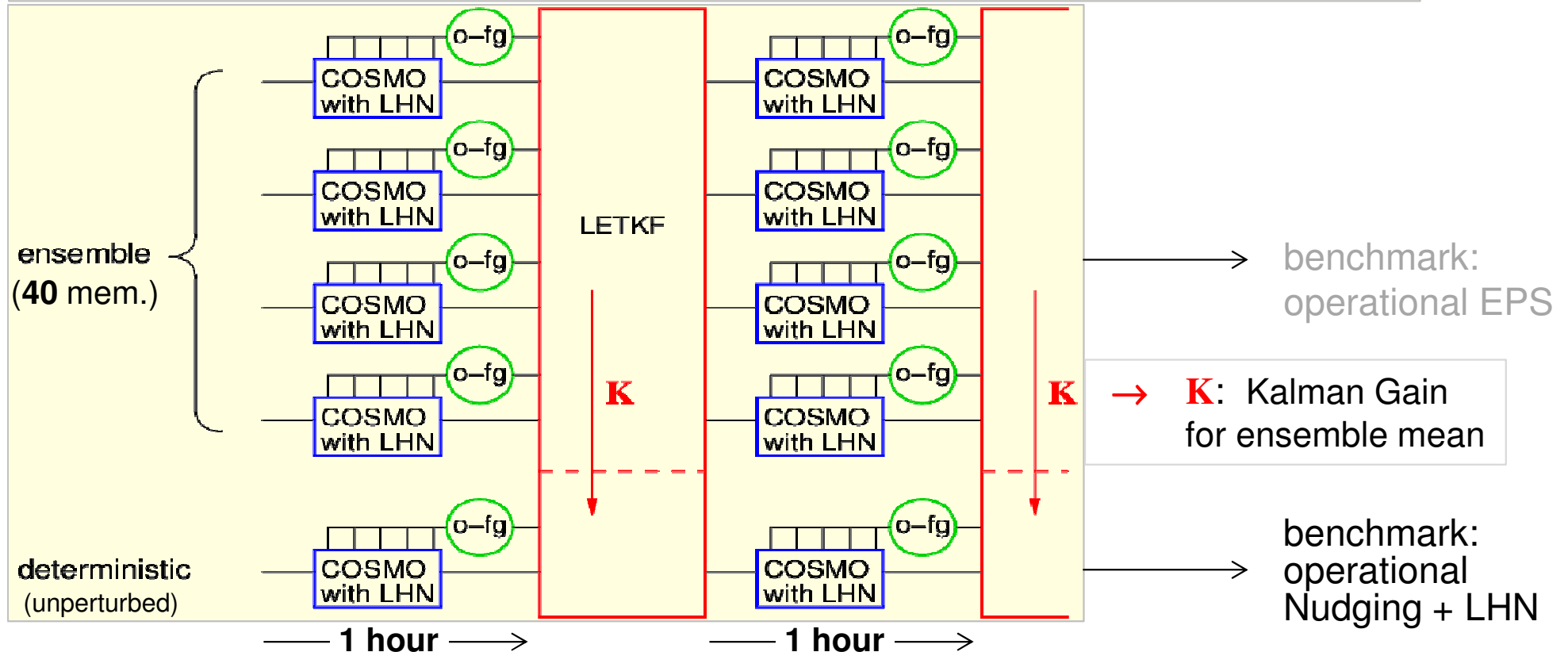
PP KENDA-O : Km-Scale Ensemble-Based Data Assimilation
for the use of High-Resolution Observations (until 2020)

- 1) further development of LETKF scheme
 - work towards operationalization: additive covariance inflation
 - limiter to soil moisture perturbations
- 2) extended use of observations (overview)
 - Mode-S aircraft
- 3) lower BC: soil moisture analysis (by LETKF) using satellite soil moisture data
- 4) – adaptation to ICON-LAM: LETKF, 3DVar, hybrid EnVar
 - particle filters (hybrid PF-Var with global ICON almost as good as operational EnVar (with LETKF) in 1-month test)

- **MeteoSwiss:** KENDA operational for **EPS** since 19 May 2016 ($\Delta x = 2.2$ km)
(Daniel Leuenberger, ...)
KENDA for det. COSMO-1: 2018
(T,qv in PBL still worse, some problems:
no additive inflation yet,
KENDA draws analysis less close to obs than nudging, mainly in winter)
- **DWD:** KENDA operational for **det. + EPS** since 21 March 2017 ($\Delta x = 2.8$ km)
(Hendrik Reich, Christoph Schraff, Andreas Rhodin, Roland Potthast, Klaus Stephan, ...)
(→ first to replace observation nudging)
- **ARPAE-SIMC:** KENDA operational for **det.** forecast since May 2017, for EPS soon (2.2 km)
(Bologna)
(Chiara Marsigli, Davide Cesari, ...)



KENDA: 4D-LETKF + LHN (latent heat nudging for assimilation of radar precip)



(pre-) operational settings (→ *Schraff et al. 2016, QJRMS*) :

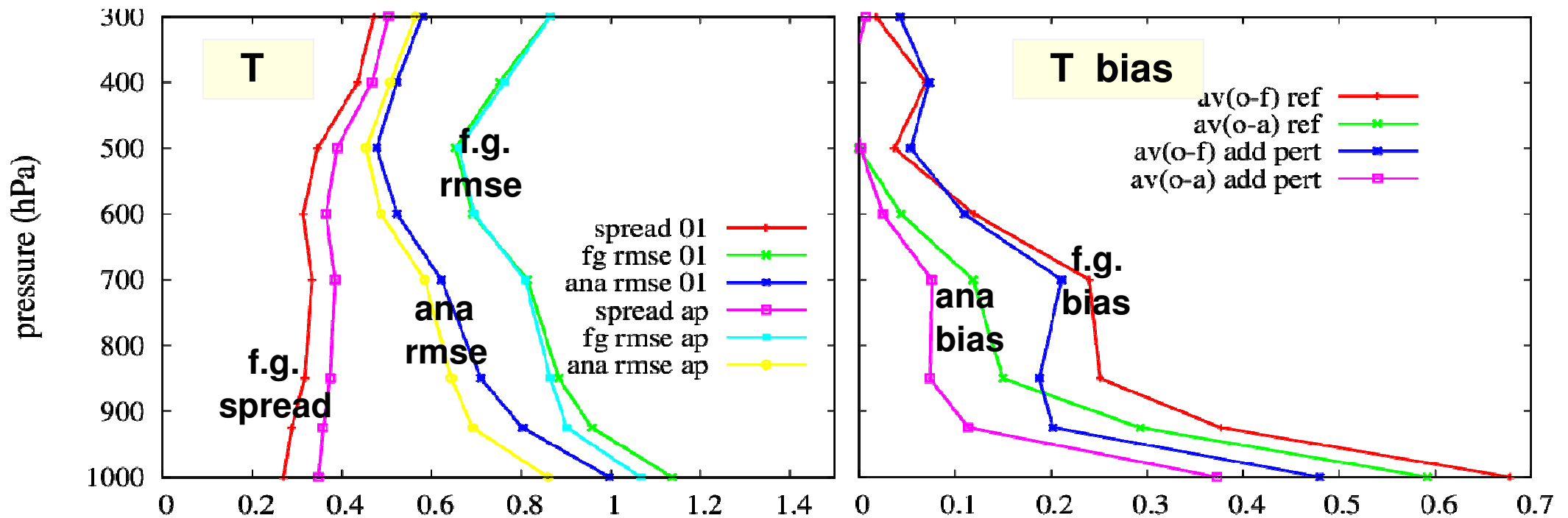
- adaptive horizontal localisation (keep # obs constant, $50 \text{ km} \leq s \approx \text{std dev} \leq 100 \text{ km}$)
- adaptive multiplicative covariance inflation (obs-f.g. statistics) + RTPP ($\alpha_p = 0.75$)
- **additive covariance inflation** (DWD only → see later)
- explicit soil moisture perturbations
- conventional obs types only (radiosonde, aircraft, wind profiler, synop)

- bug in model system setup: insufficient Grib precision in analysis for T_SO, leading to underestimation of melting of soil ice
 - bug fix operational since mid-Nov. 2016
- **additive covariance inflation:**
 - additional random perturbations in all LETKF analysis ensemble members, based on climatological forecast error covariances from global EnVar for ICON ($\mathbf{B} = \mathbf{L} \mathbf{L}^T$; $\mathbf{y} = \mathbf{L} \mathbf{x}$, where \mathbf{x} : random vector (with reduced resolution/dimension))
 - purpose: account for model errors in a better way, so that 1-h forecast ensemble differences (covariances) provide a more complete description of the true errors of the 1-h forecast
 - increases ensemble spread, increases (error) space spanned by ensemble
 - increases weight of observations in analysis
 - experiments: February 2016 (only 8 days)
December 2016 (with low stratus periods: 03 – 08 Dec,
15 – 21 Dec,
29 Dec – 02 Jan)

additive covariance inflation using 3DVar-B

(10 – 18 Feb. 2016)

Deutscher Wetterdienst

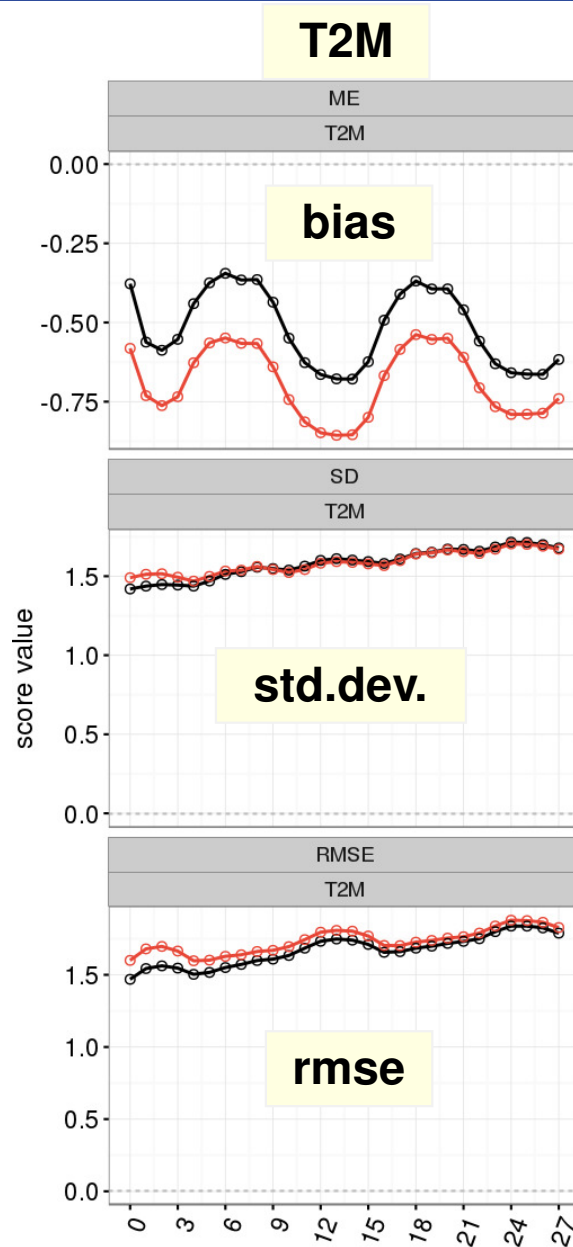


additive covariance inflation:

- increases f.g. spread
- draws analysis closer to obs
- reduces low-level T rmse in f.g.
- **reduces** low-level T, T2M **bias** !
- little effect on forecast errors in general (T, wind...), but ...



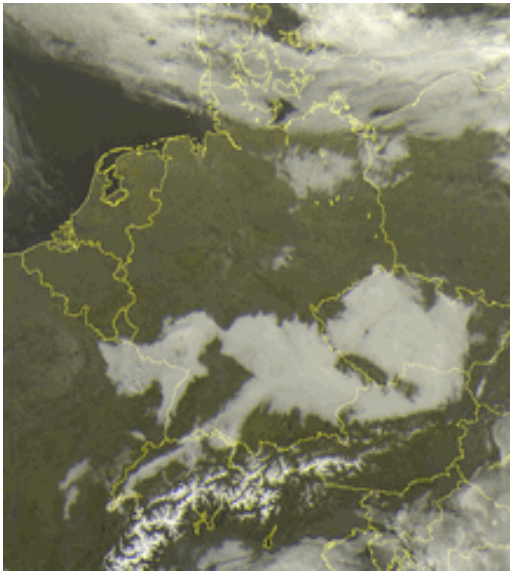
winter experiment (Dec. 2016): KENDA vs Nudging, surface verification



**KENDA with
additive inflation
nudging**

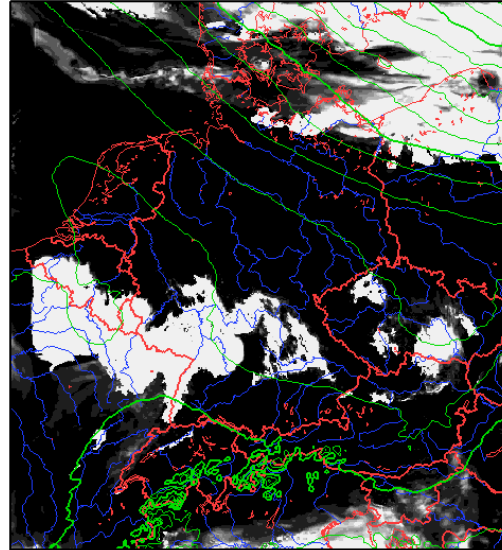
winter experiment:
low stratus (cloud), 5 Dec. 2016, 12 UTC

5 Dec 2016,
0 UTC + 12 h

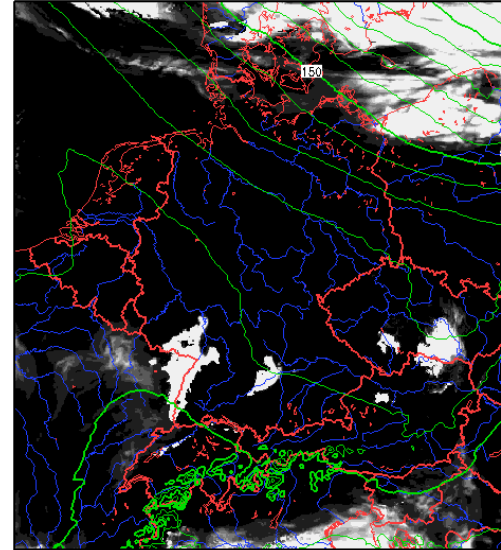


5 Dec 2016,
12 UTC + 0 h

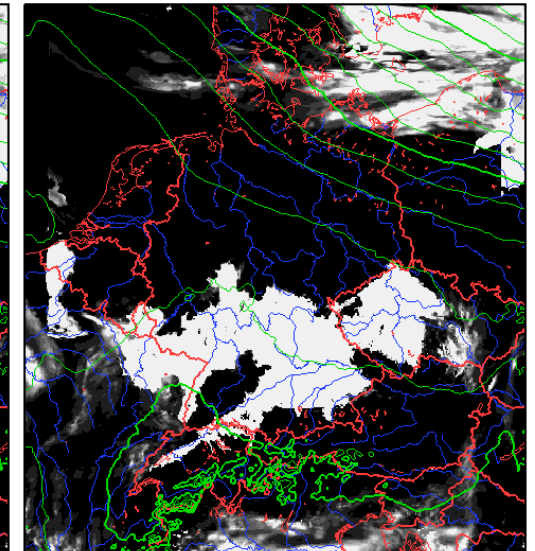
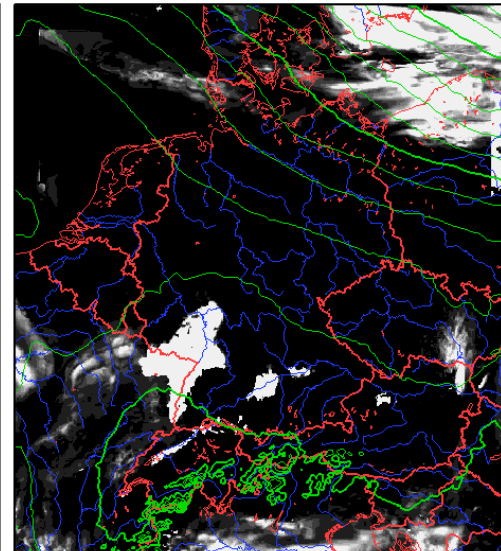
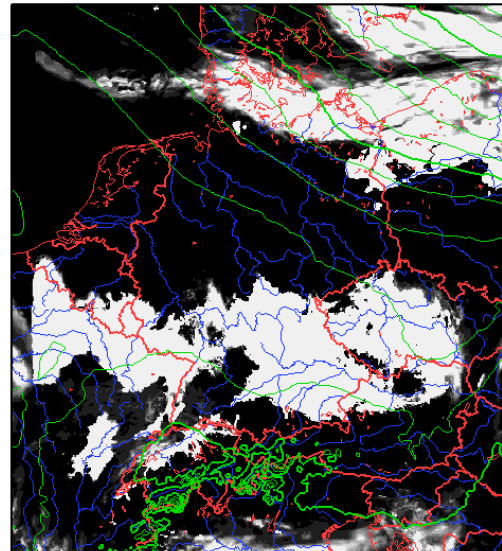
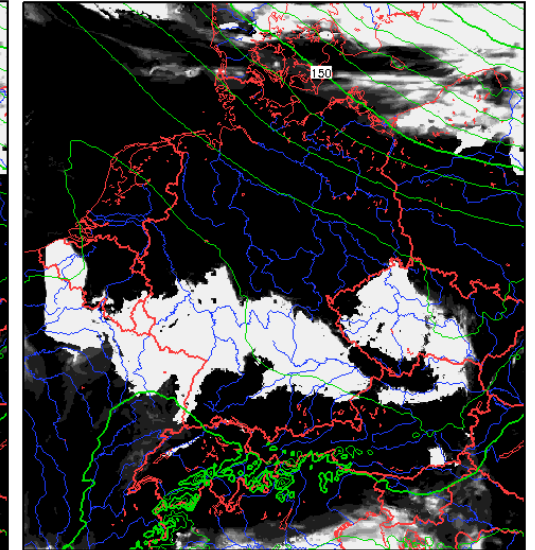
operational nudging



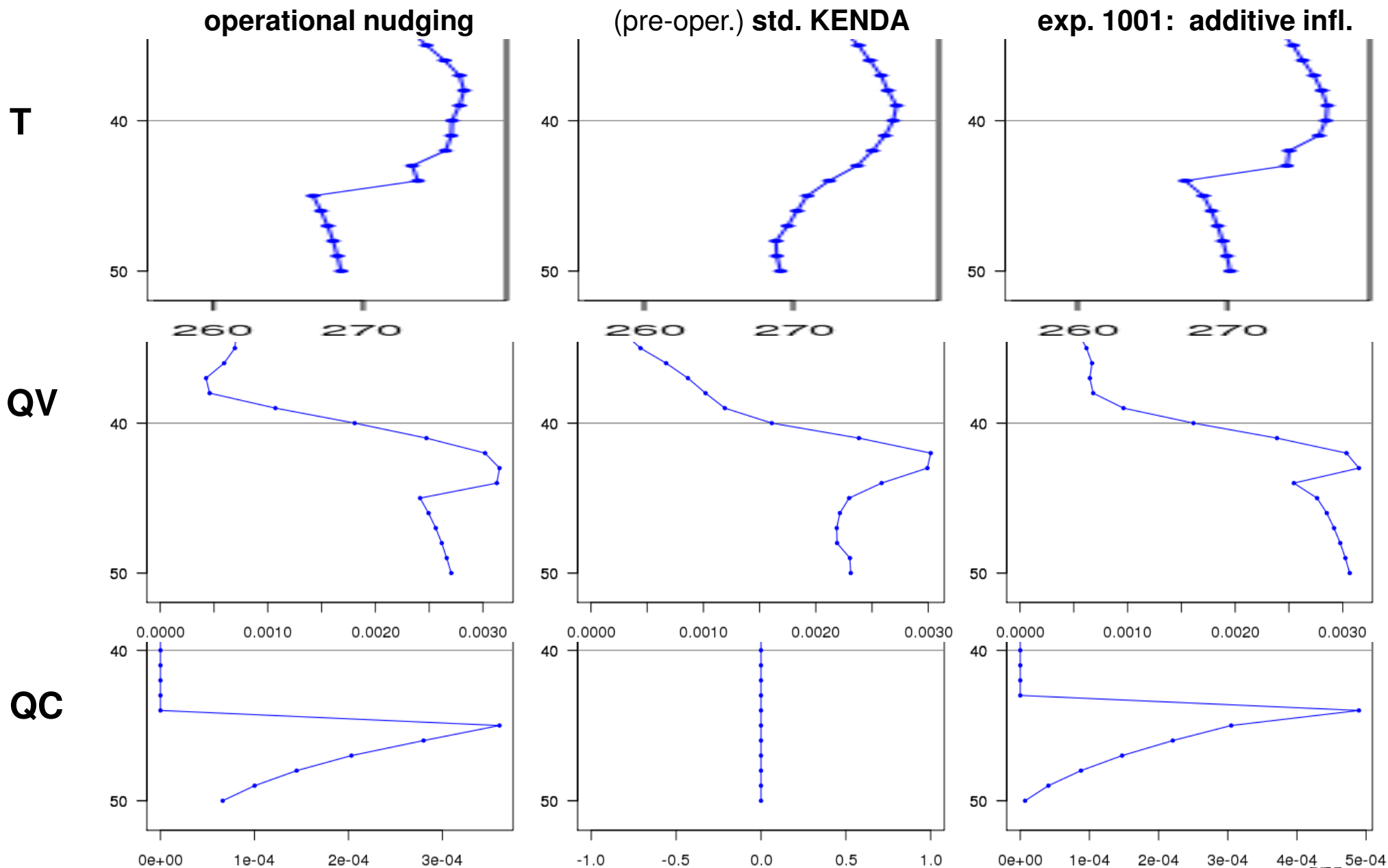
(pre-oper.) std. KENDA



exp. 1001: additive infl.



winter experiment:
vertical profiles, 50°/10°, 5 Dec. 2016, 12 UTC



- additive inflation:
 - winter: large positive impact on low stratus + 2-m temperature bias
very positive for EPS
 - summer (convective period) :
minor mixed impact
(possibly due to larger effect of soil moisture perturbations in summer)
- introduced in KENDA parallel suite on 8 Feb.



- KENDA (with additive inflation) vs. operational nudging:

deterministic forecasts: positive for **convective precipitation** in summer

positive for **2-m temperature**

mixed (negative in 1 period) for **low stratus** in winter

negative for **surface pressure in summer**

EPS: very positive (in summer & winter, for precip, wind gusts, ...)

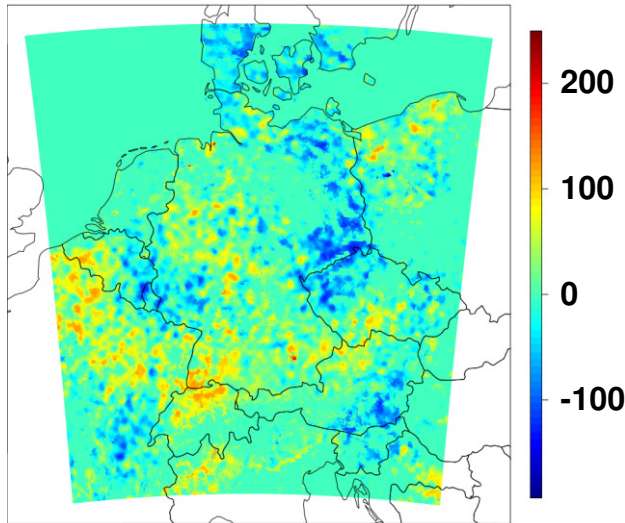
→ **operational introduction of KENDA: 21 March 2017**

- but: pending issue, to be solved before summer

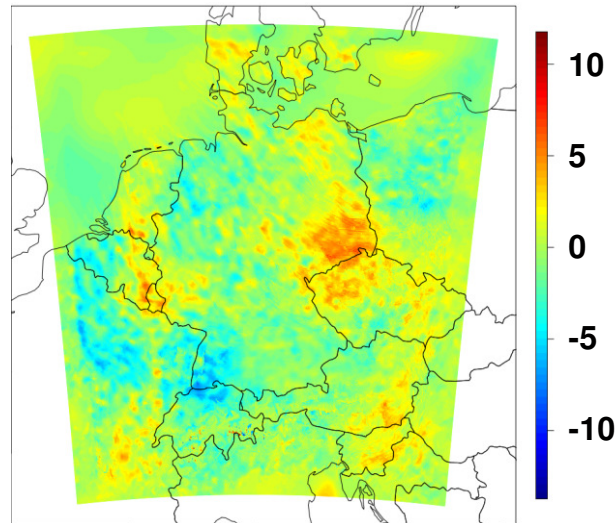
pre-operational KENDA suite at DWD in summer 2016: effect of soil moisture perturbations (SMP)

24 Aug. 2016

soil moisture layer 5,
diff. betw. 2 mem. (1,8)



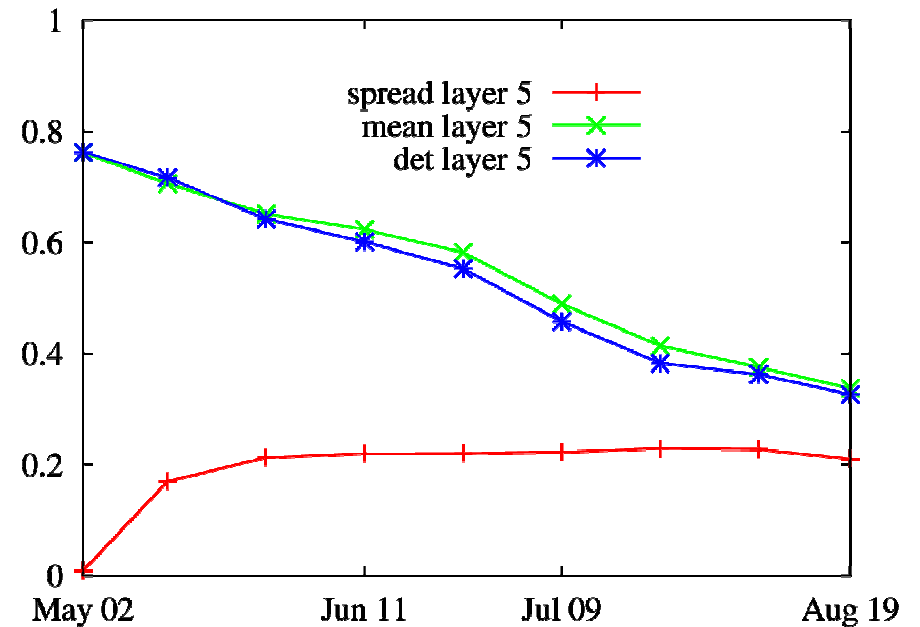
T2m at noon,
diff. betw. 2 mem. (1,8)



standard soil moisture perturb.:

→ T2m deviations of individual ensemble members unrealistically large in some situations

- old setup:
 - random soil moisture perturbations
(with prescribed horizontal correlation scales (100 km , 10 km)
approx. temporal correlation scale (1 day)
and variance (0.72 SMI/day))
 - accumulate over days, saturate eventually
at domain average ~ 20 – 25 % SMI
(soil moisture index:
0 at plant wilting point, 1 at field capacity)



- modification: no further explicit SM perturbations added
where $\text{spread}(\text{SMI}) > 0.15$
→ “soft limiter” for SMP

soft limiter of soil moisture perturbations: impact on a sunny day (23 June 2016)

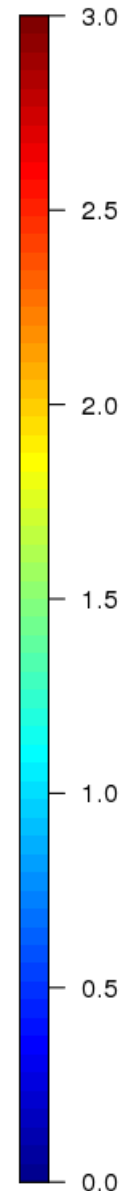
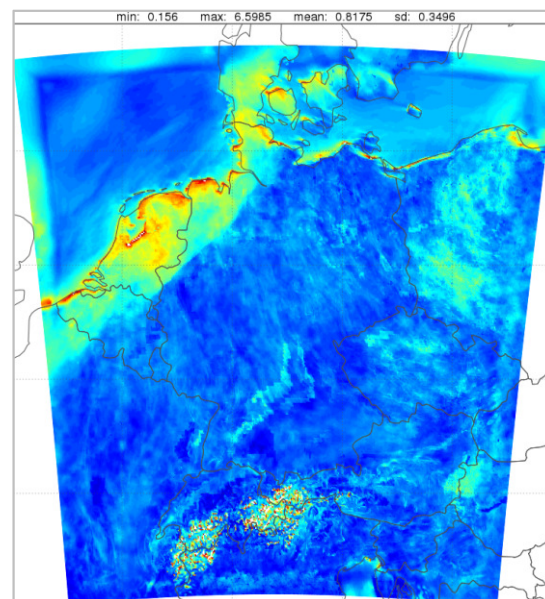
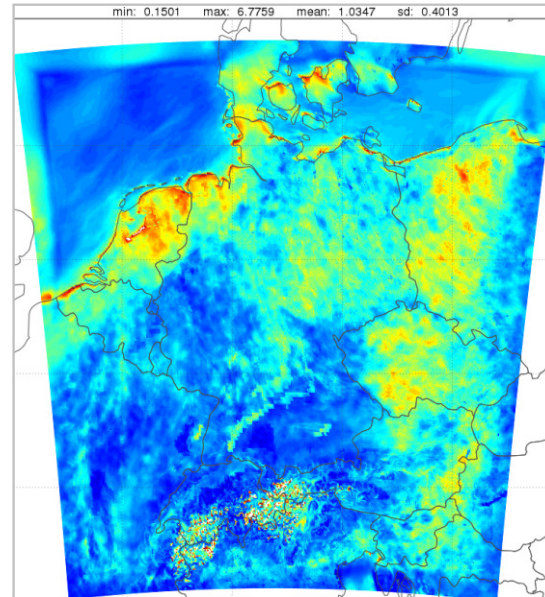
spread of
2-m temperature

original
(Exp 10396)

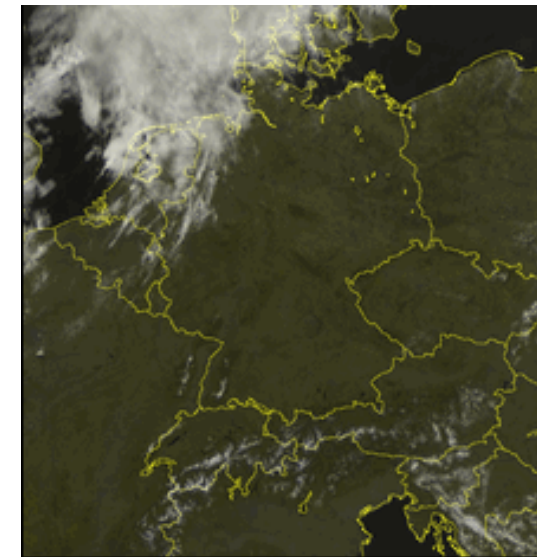
with SMP limiter
(Exp 10416)

✓ T_{2M} spread
decreased mainly
in sunny areas

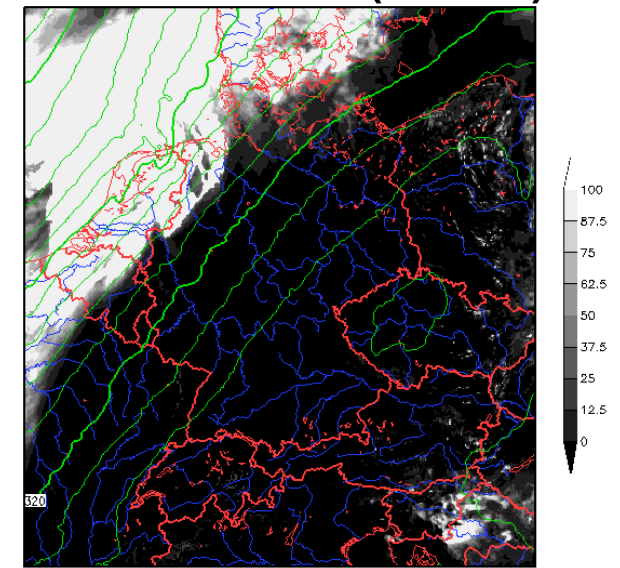
afternoon (14 UTC)



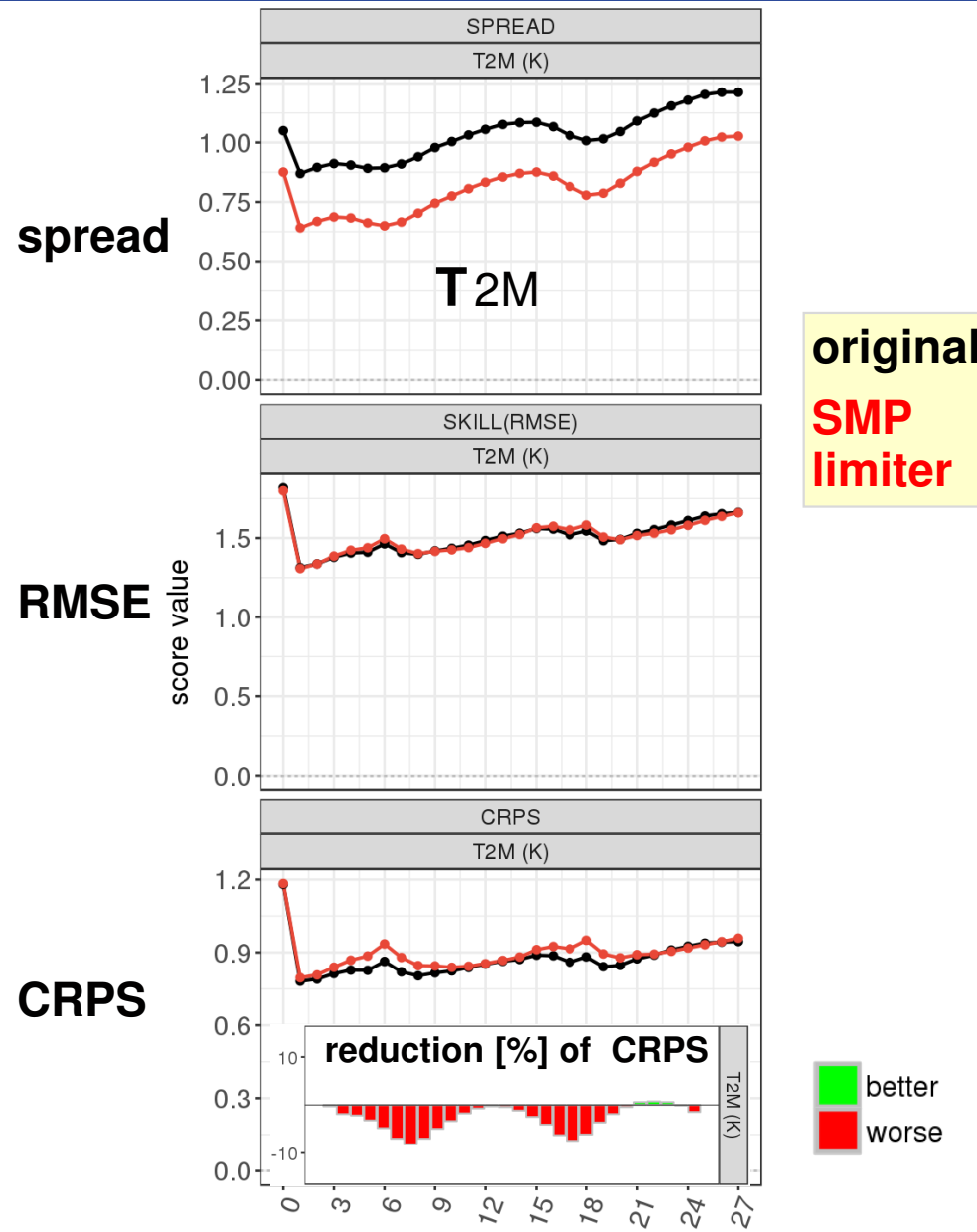
Meteosat (12 UTC)



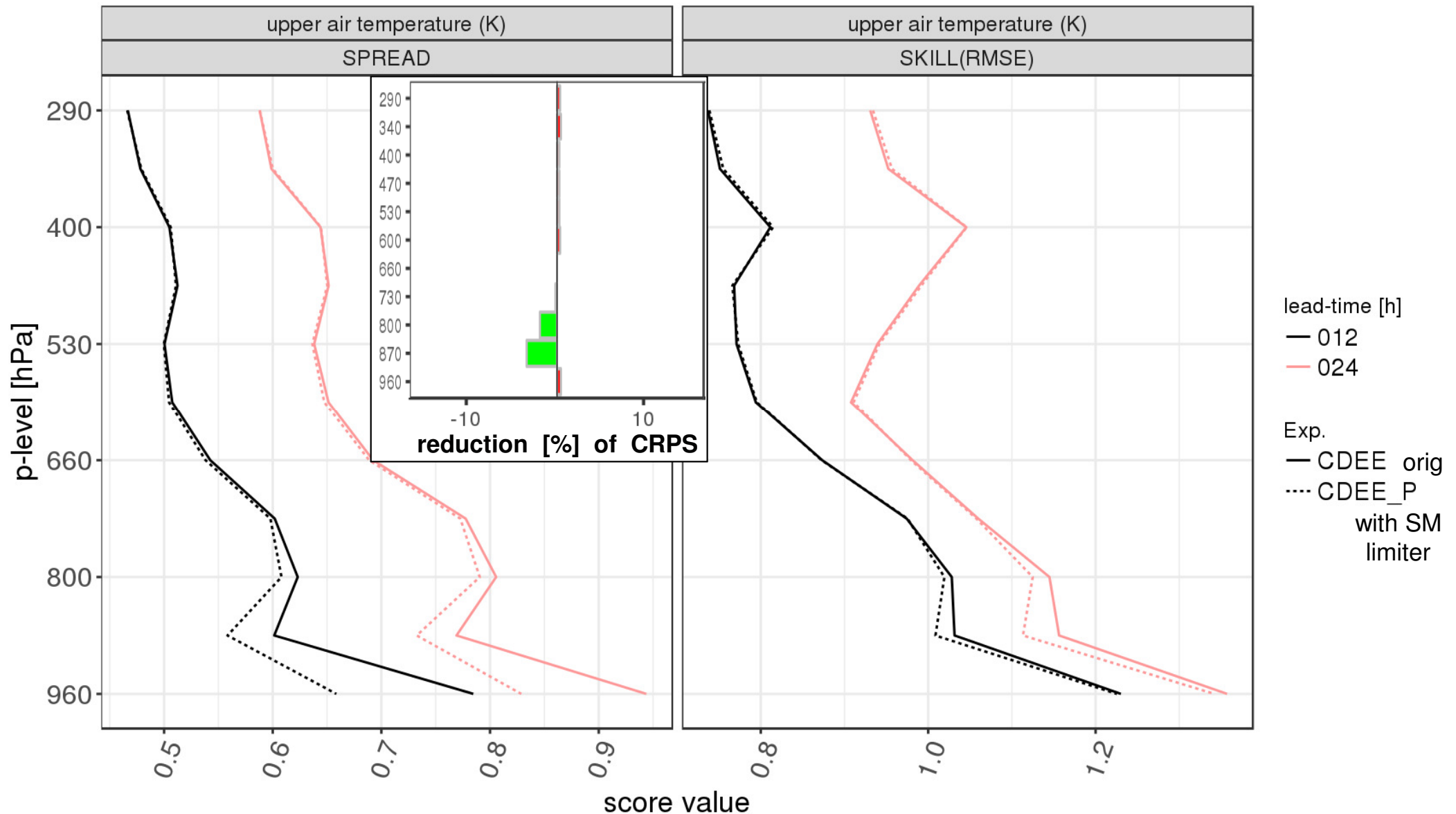
mid-level cloud (12 UTC)



impact of SMP limiter on scores, EPS: surface verification (1 – 25 June 2017)



impact of SMP limiter on scores, **EPS**: radiosonde verification (1 – 25 June 2017)



- impact of reduced soil moisture perturbations on scores:

- ✓ T_2M spread strongly reduced in sunny days as required

- T_2M + RH_2M spread moderately reduced in general

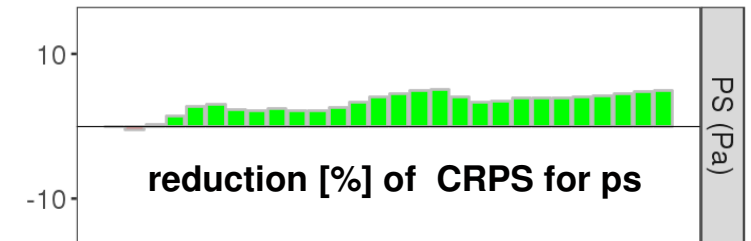
in June 2017 more than in June 2016, because in 2017:

- more sunny days
 - original soil moisture perturbations larger
 - drier soil

- ✓ deterministic scores: neutral

- ✓ EPS: slightly reduced errors (upper-air T, surface pressure, especially bias), slightly improved resolution for precip

- hypothesis: due to introduction of additive covariance inflation (in February), reduced soil moisture perturbations can be afforded or are even beneficial

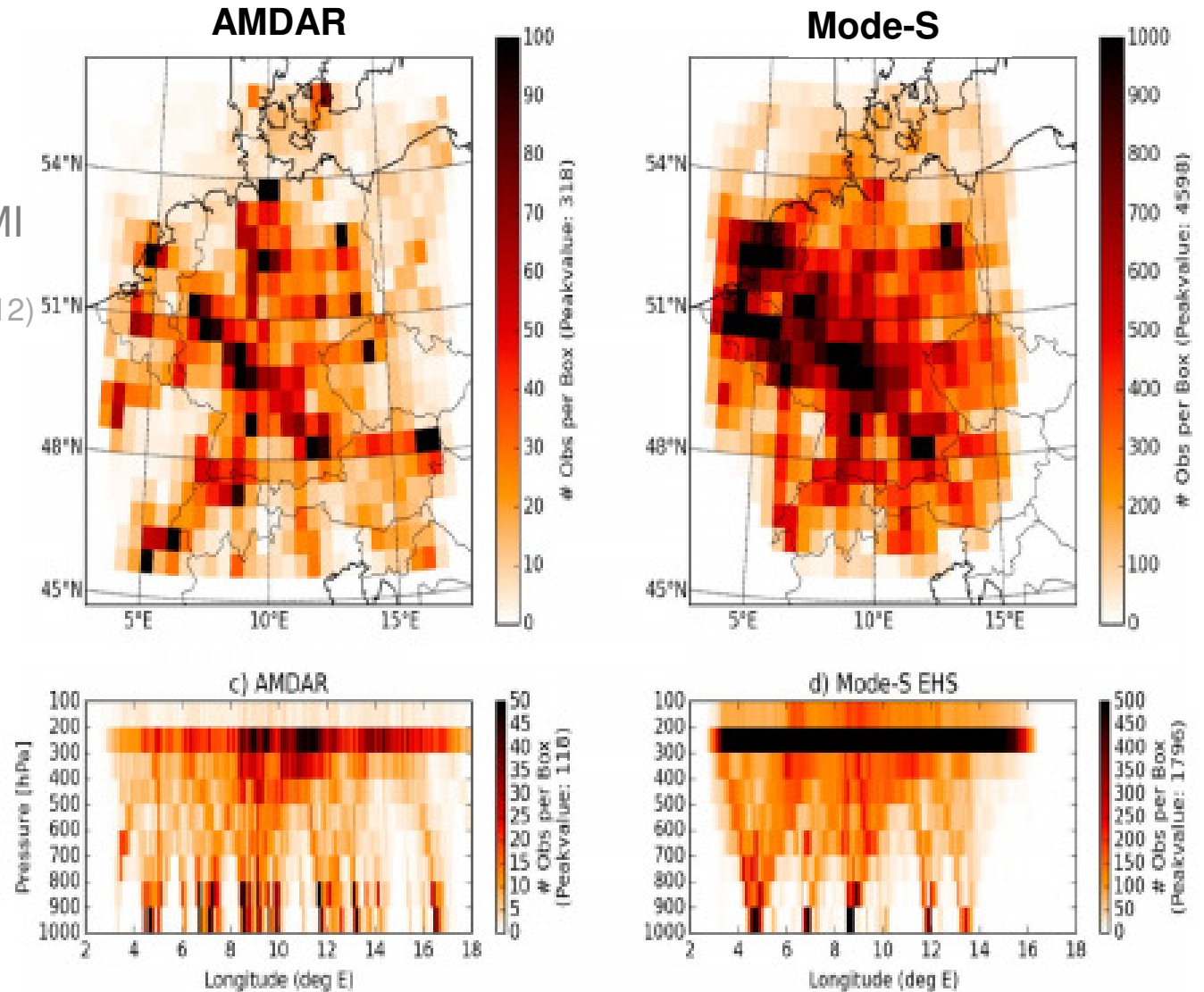


→ soft limiter to soil moisture perturbations operational since early July 2017

- ✓ **Mode-S aircraft** : DA exp., promising results
- ✓ **radar radial winds**: DA exp., promising results
- ✓ **radar reflectivity**: DA sensitivity tests, results mostly preliminary:
 - ARPAE-SIMC (≤ 4 radars): 1h / 30' / 15' cycling, temporal thinning, RTPS, addit. infl.
 - DWD: warm bubbles, remapping of Z for Gaussianity, 1.1km, 2-moment microphys.
- ✓ **GPS slant total delay**: technical work incl. monitoring, in V5.04d, new DA exp. running (old exp: benefit on precip, elsewhere mixed (negative at low levels))
- ✓ **SEVIRI WV**: many (mostly clear-sky) sensitivity DA tests, inconclusive, no benefit yet
- ✓ **Raman lidar** (T-, q- profiles): quality of Payerne T-obs improved, DA being set up
- ✓ **T2M, RH2M**: delayed (resources at MCH/DWD in 2018)
- ✓ 3 new projects at DWD (\rightarrow WG1): **SEVIRI VIS, lightning, (nowcast) objects**

Mode-S aircraft

- derived from radar data from air-traffic control, processed + provided by KNMI (de Haan, *Geophys. Res.*, 2011; de Haan and Stoffelen, *Wea. Fcst.*, 2012)
- wind vector + temperature, T derived from Mach number
- every 4 – 15 sec
- compared to AMDAR: >10 x more data
no humidity, larger T error
- apply thinning (40 % active)

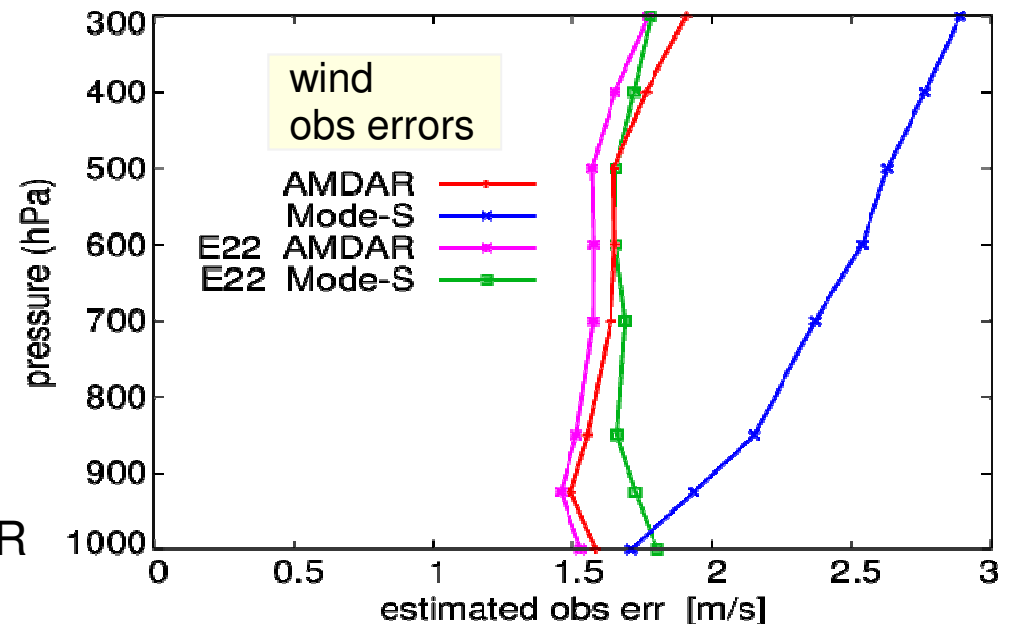


obs per day – from: Lange and Janjic, MWR 2016

experiment 26 May – 10 June 2016

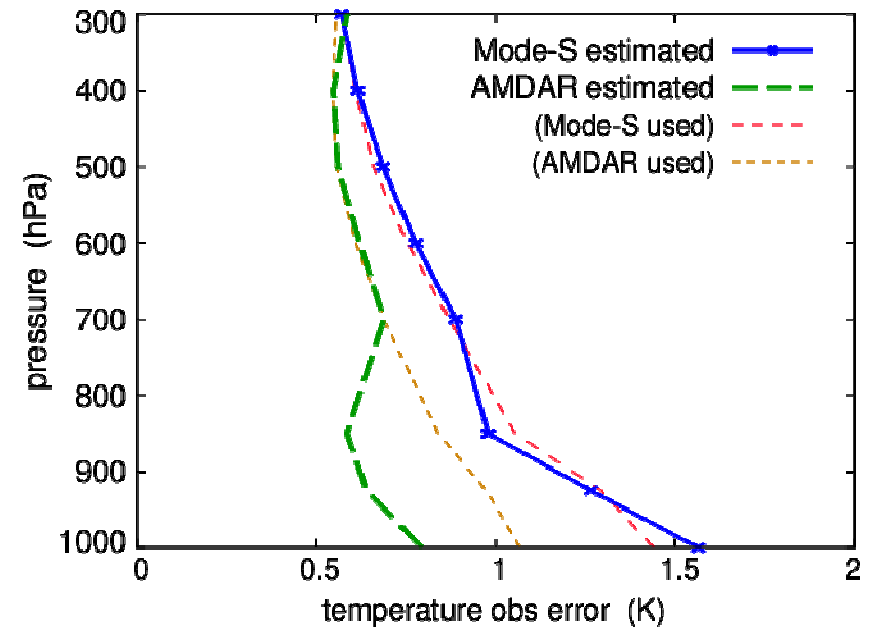
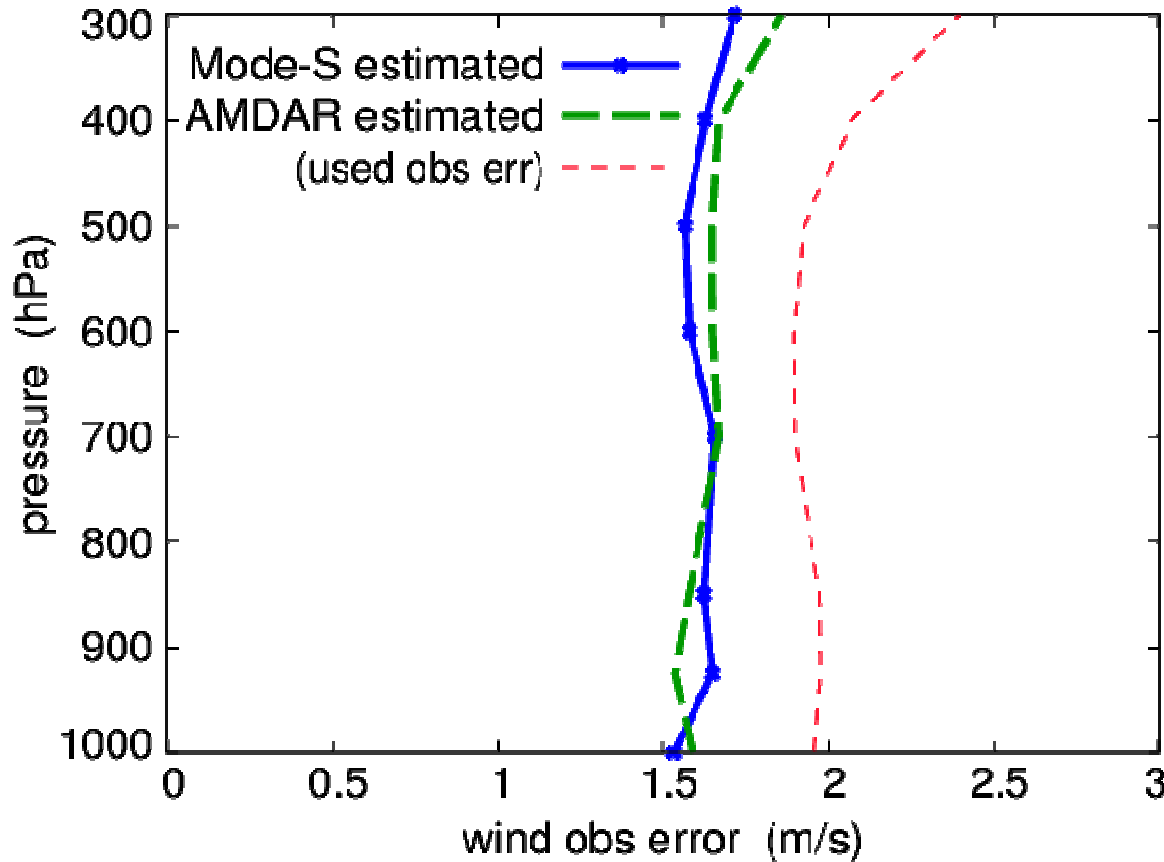


- a-posteriori Desroziers statistics from DA experiment for estimation of obs errors: unexpected large Mode-S wind errors
- data processing corrected by KNMI since 15 May 2017, re-processed historical data on request
- new exp. 'E22' with re-processed data: Mode-S wind obs errors similar to AMDAR (but specified obs error variance still large)



Mode-S aircraft: new obs errors (specified / estimated)

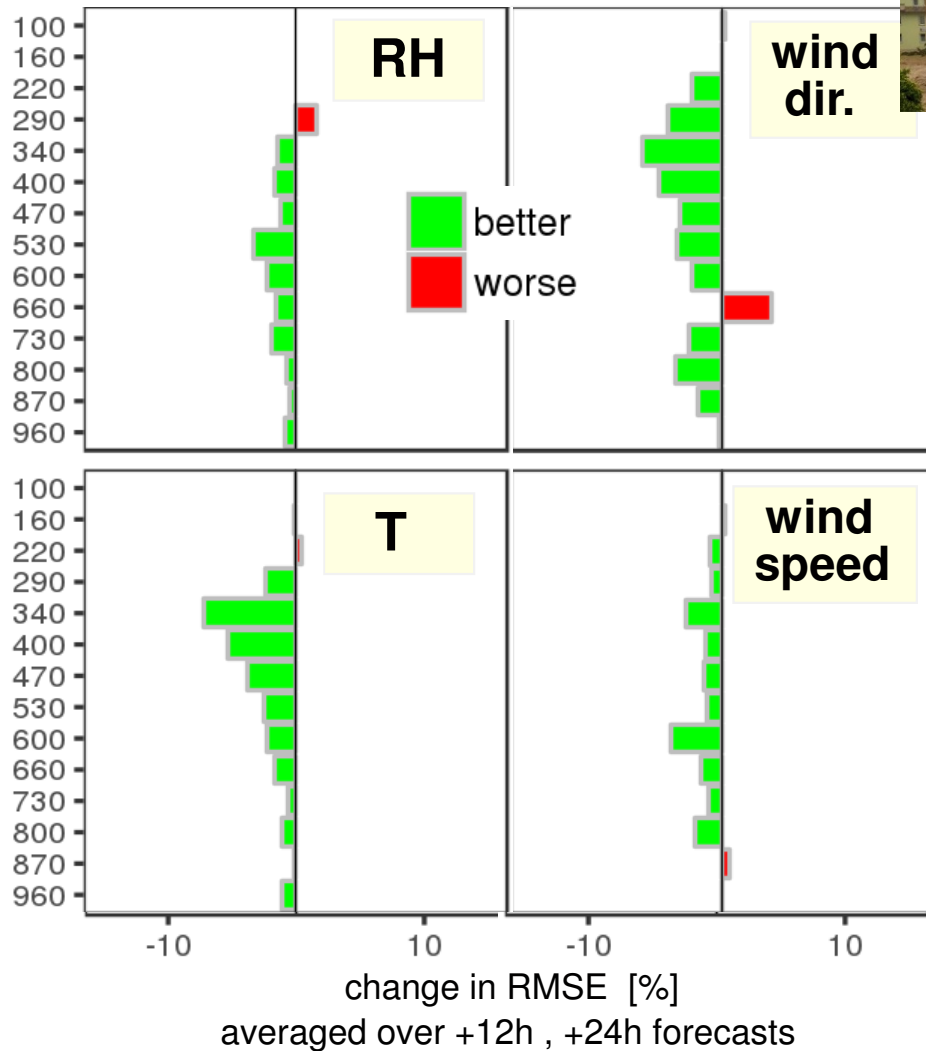
1 – 24 Sept. 2017 (trial in parallel suite)



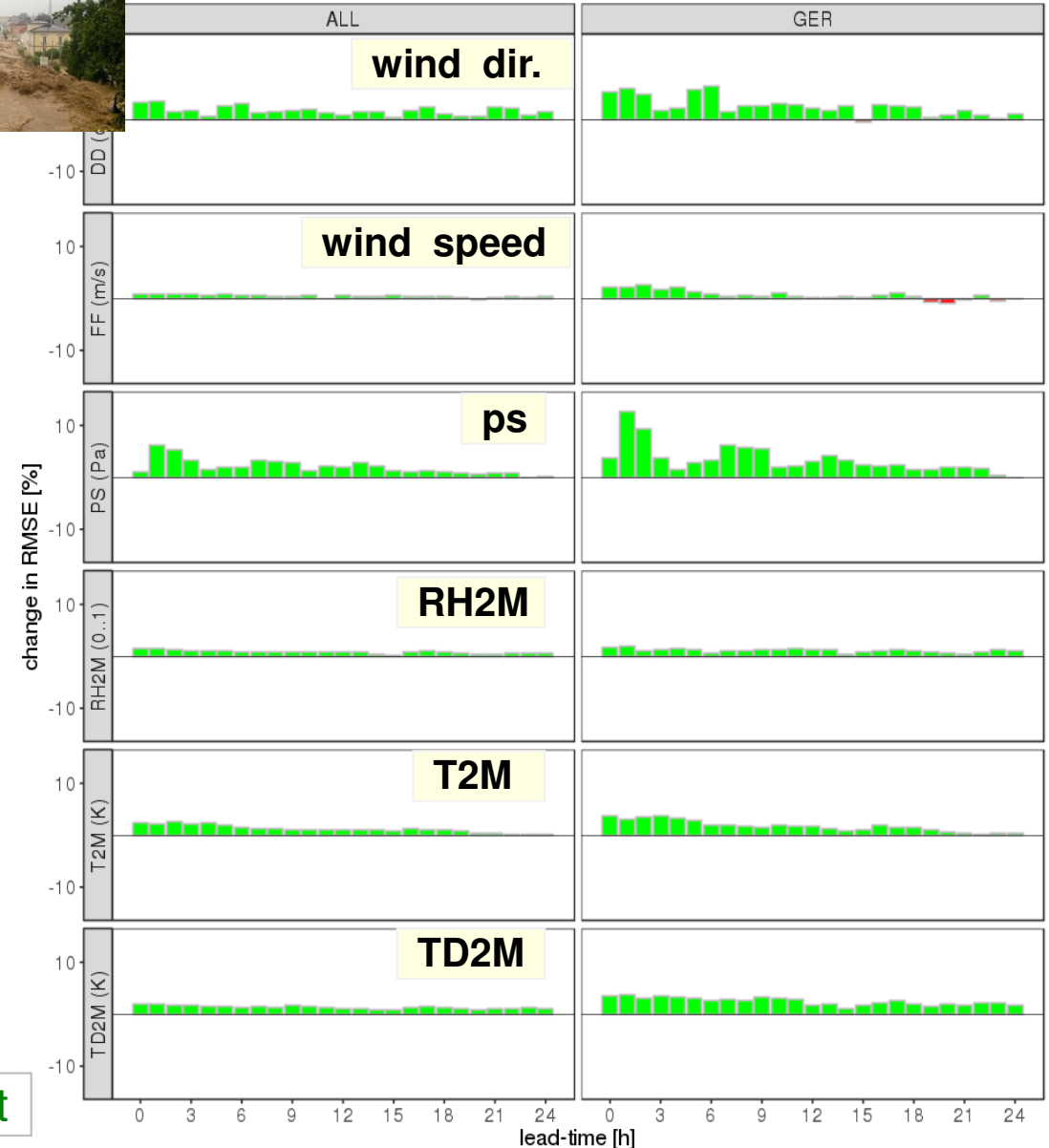
- ✓ in comparison to AMDAR: Mode-S data quality at least as good as for re-processed data
- data quality **very unlikely** reason of minor impact in parallel suite
- forecast impact of Mode-S apparently dependent on weather regime

Mode-S aircraft: forecast verification for convective period with weak advection

radiosonde verification (26 May – 10 June 2016)



surface verification



✓ positive impact from Mode-S throughout

Mode-S aircraft: BACY test for convective period with weak advection

26 May – 10 June 2016

0-UTC runs

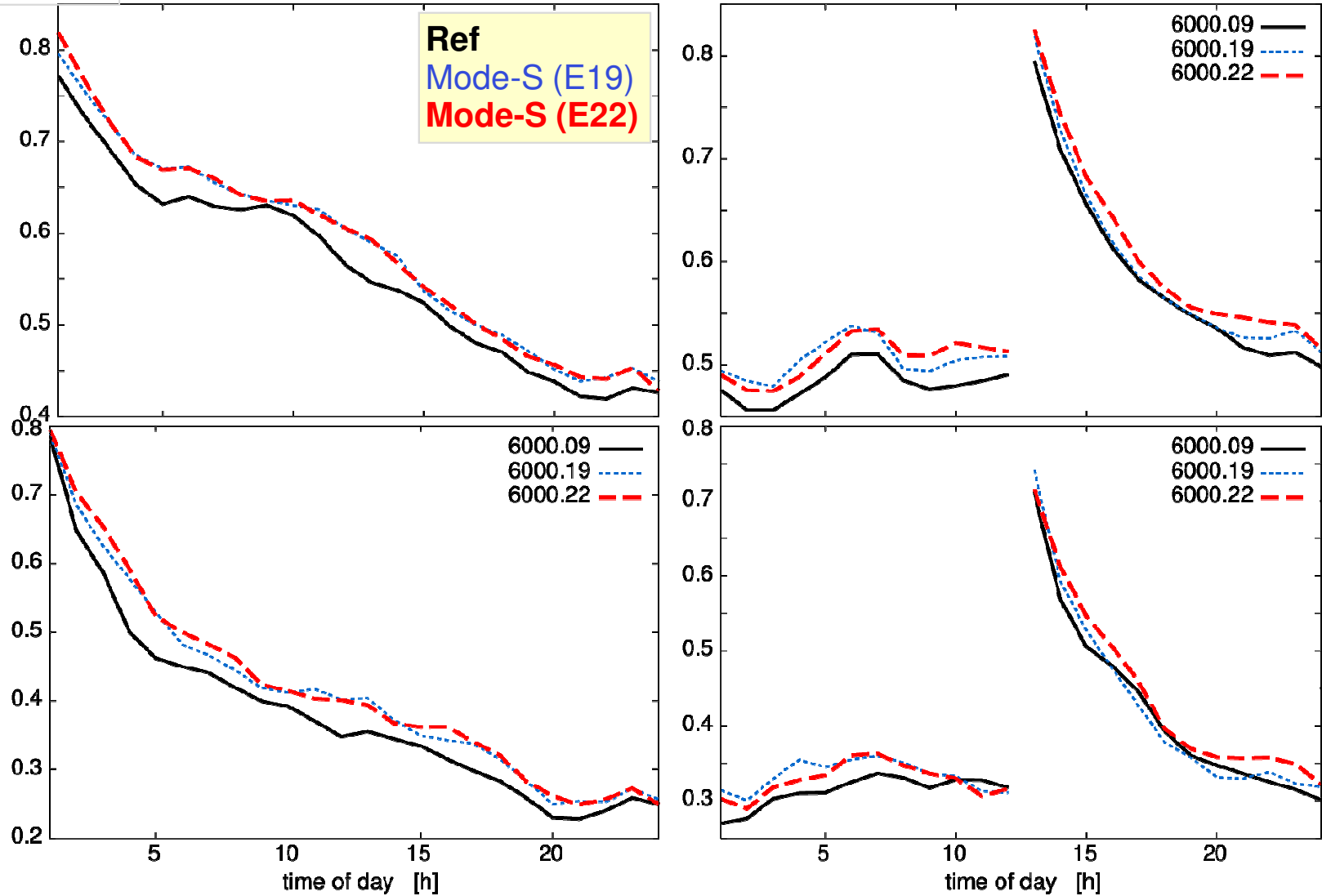
12-UTC runs



0.1 mm/h

1-hrly precip FSS (30 km)

1 mm/h

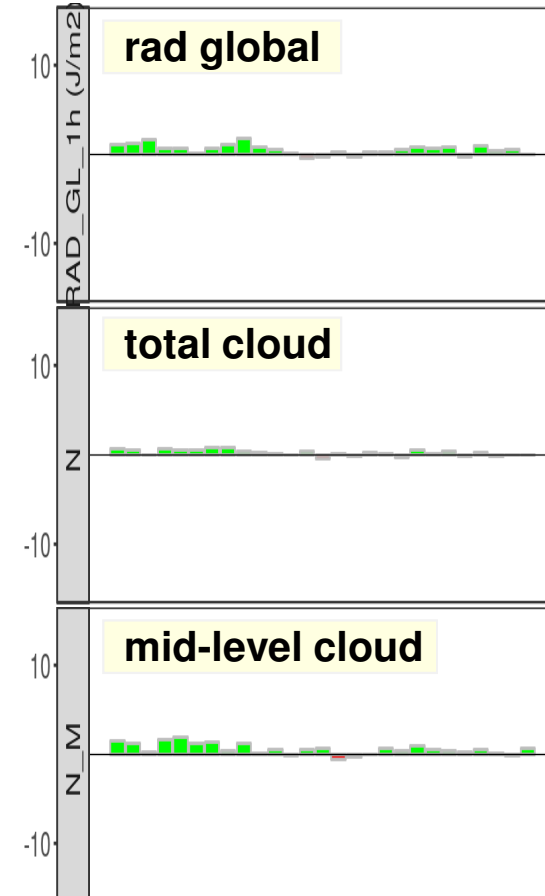
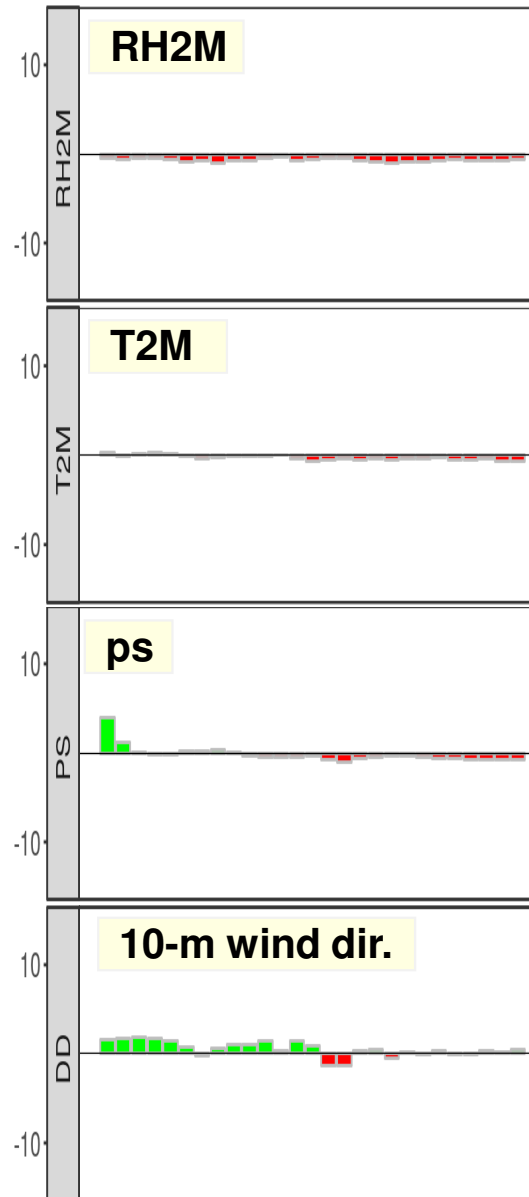
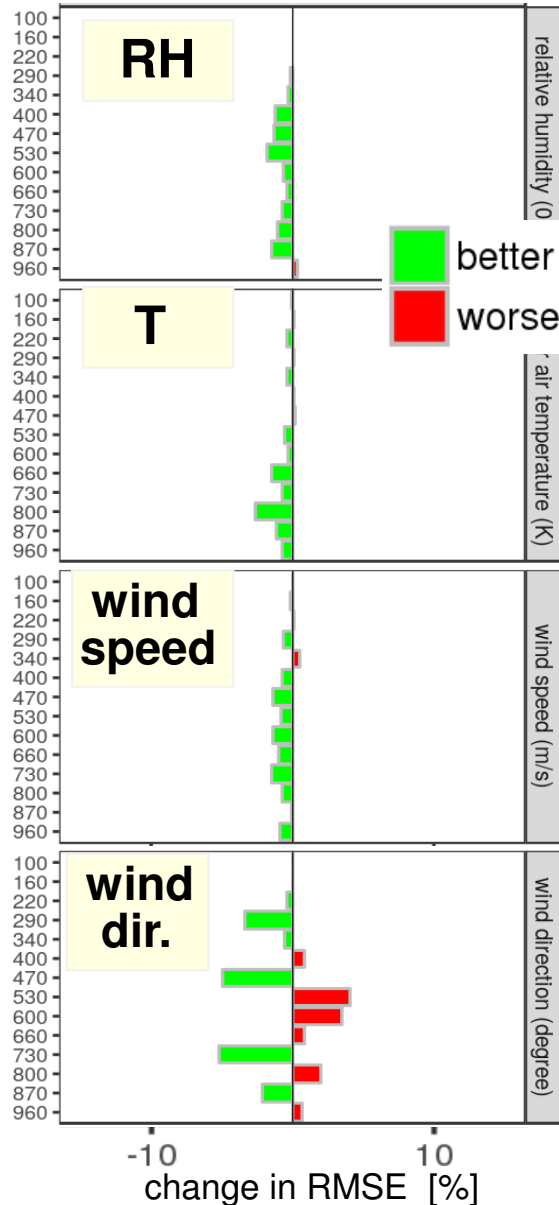


✓ Mode-S: clear long-lasting positive impact

Mode-S aircraft: parallel trial (with adjusted wind obs error)

radiosonde verification 1 – 24 Sept. 2017

surface verification



✓ only slightly positive

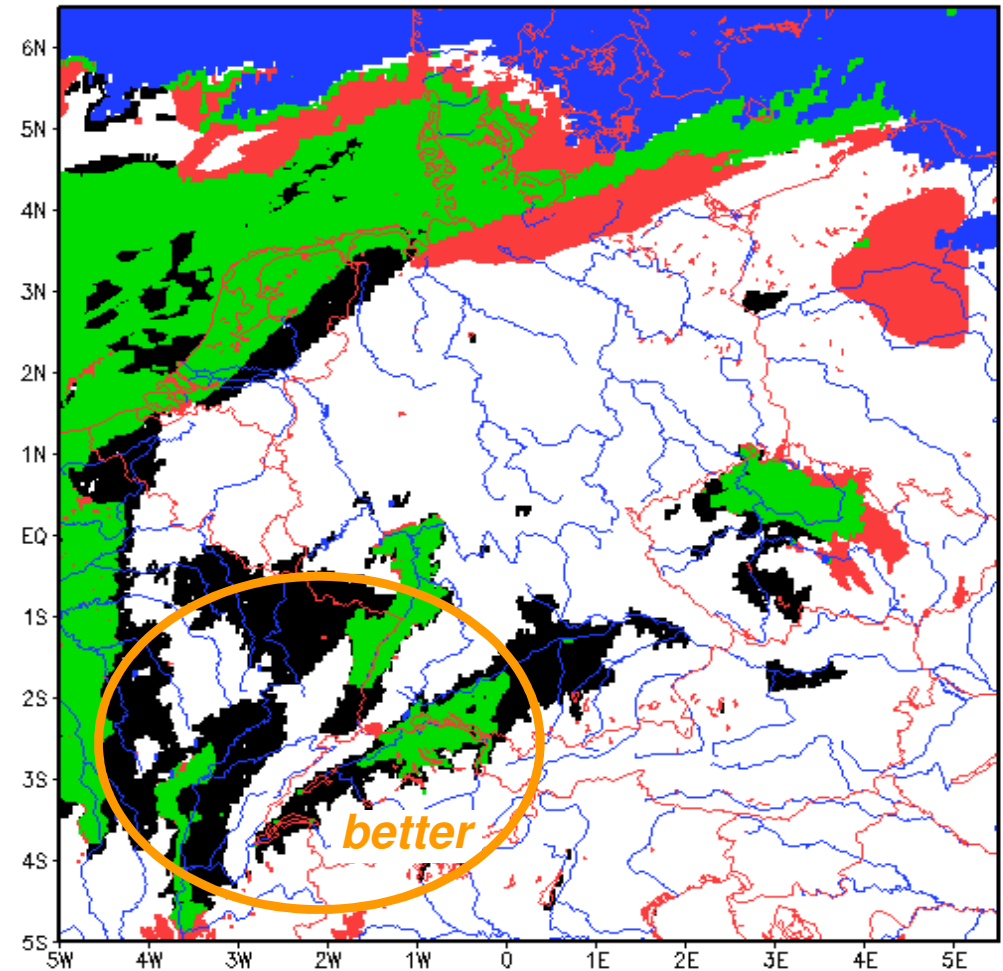
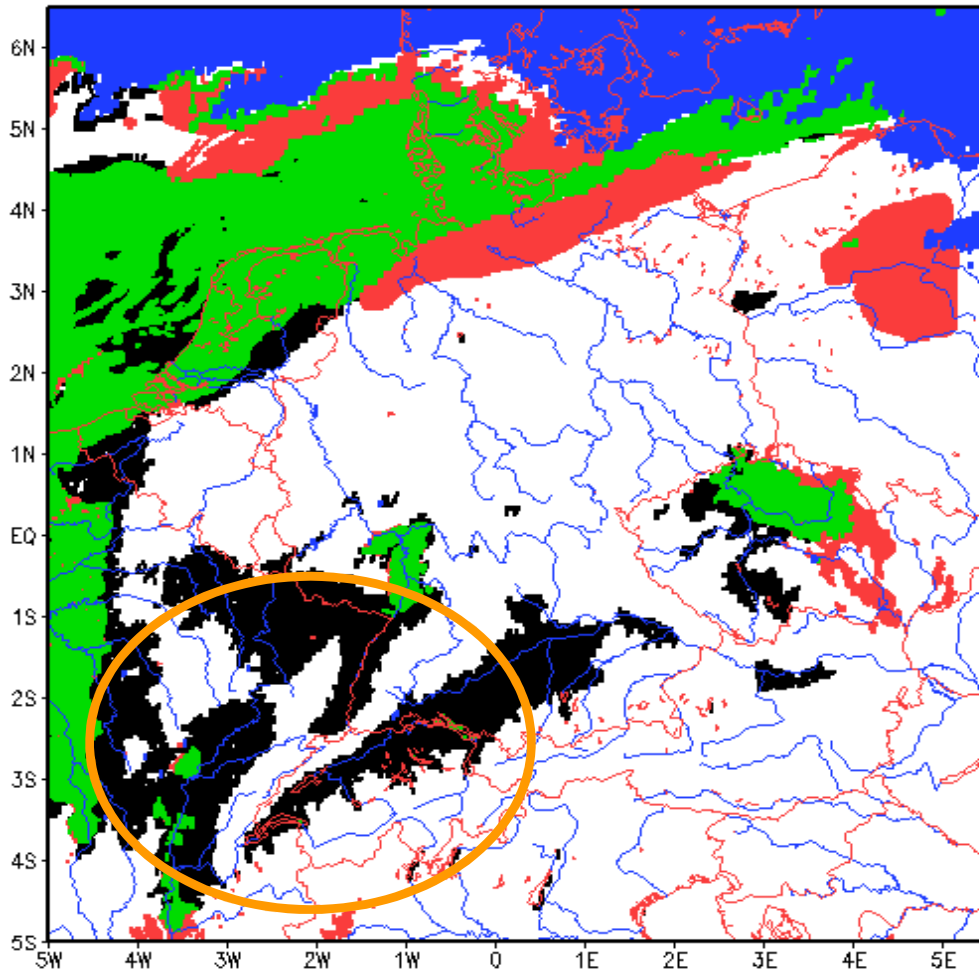
Mode-S aircraft: winter test, low-level cloud (low stratus)

REF

Mode-S

exp_8000.04_HR_det_2016123012+06h
clcl

exp_8000.05_HR_det_2016123012+06h
clcl



Satellite: **hits / missed events / false alarms / undefined (observed mid-level, high, or fractional cloud)**
Model:

missed (black): 21309 false (red): 12950 hits (green): 27447 unclear (blue): 18832

missed (black): 19905 false (red): 12150 hits (green): 28851 unclear (blue): 18832

ETS: 0.321 FBI: 0.828

ETS: 0.352 FBI: 0.840

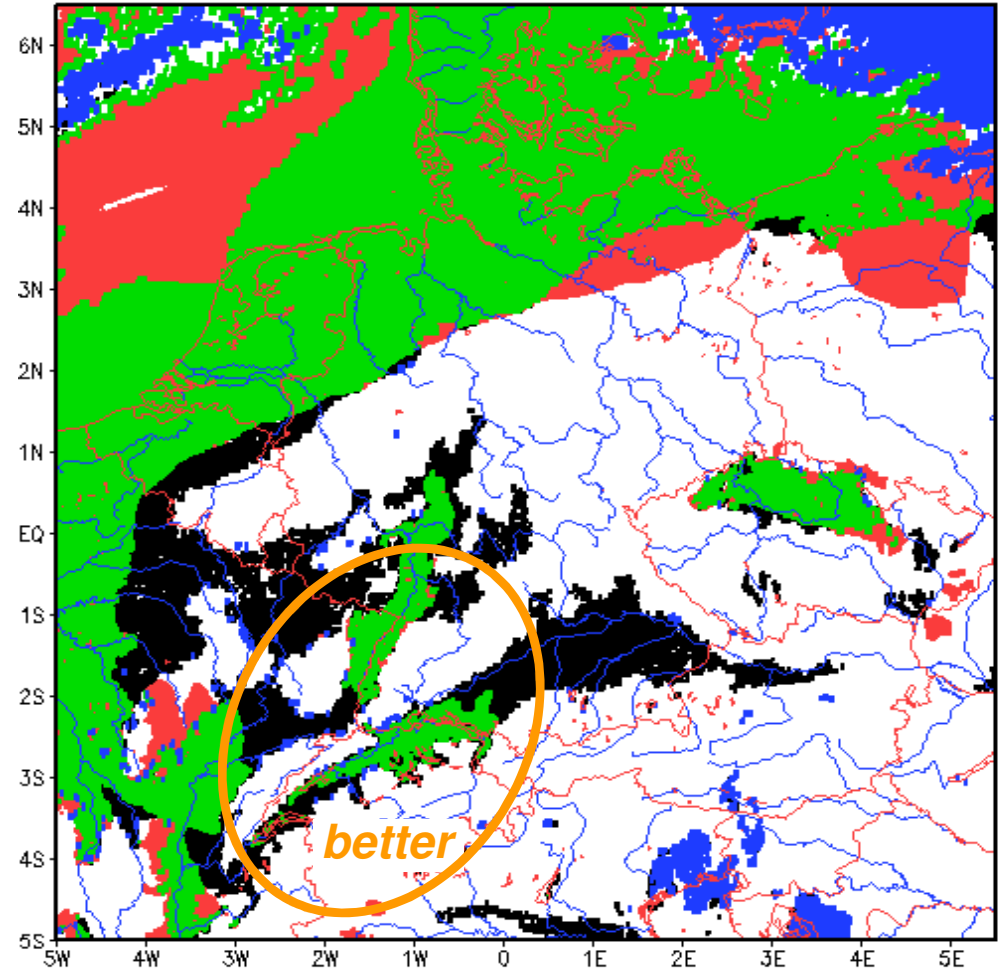
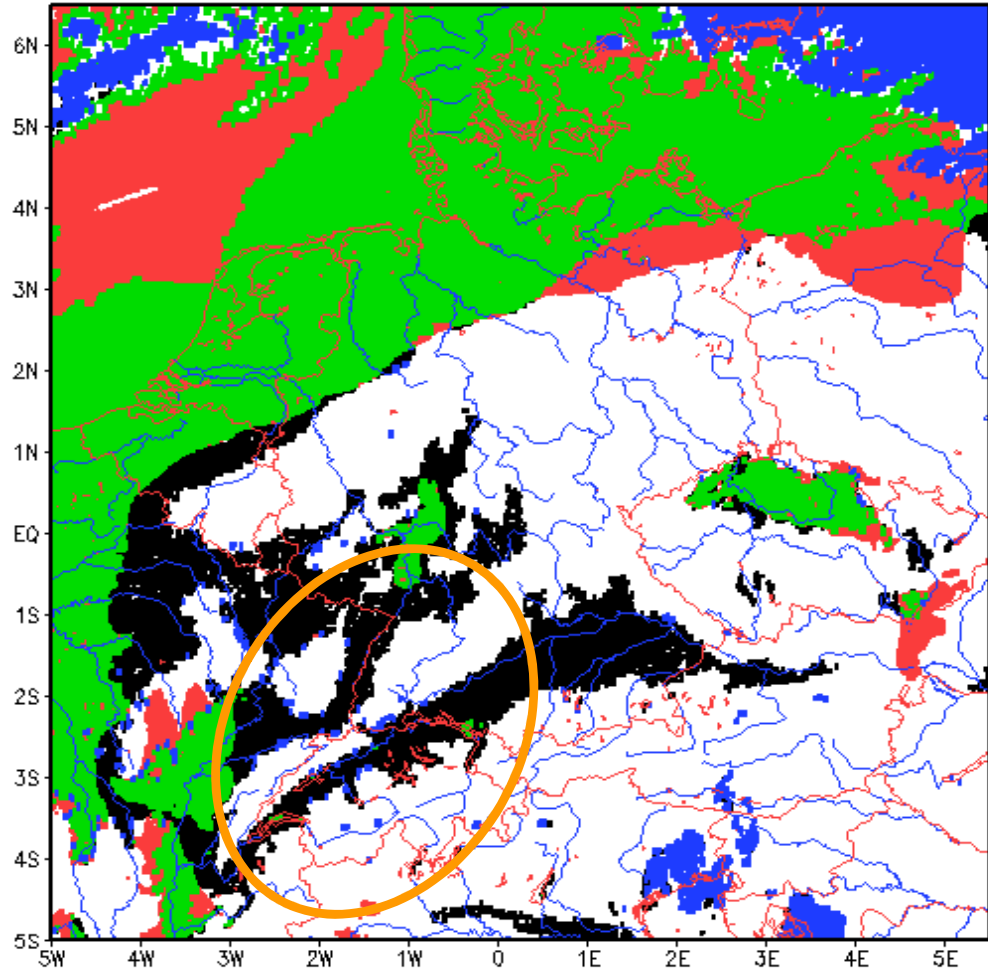
Mode-S aircraft: winter test, low-level cloud (low stratus)

REF

exp_8000.04_HR_det_2016123012+18h
cicl

Mode-S

exp_8000.05_HR_det_2016123012+18h
cicl



Satellite: hits / missed events / false alarms / undefined (observed mid-level, high, or fractional cloud)
Model:

missed (black): 19956 false (red): 19707 hits (green): 52658 unclear (blue): 8240
ETS: 0.380 FBI: 0.996

missed (black): 17496 false (red): 19482 hits (green): 55118 unclear (blue): 8240
ETS: 0.412 FBI: 1.027



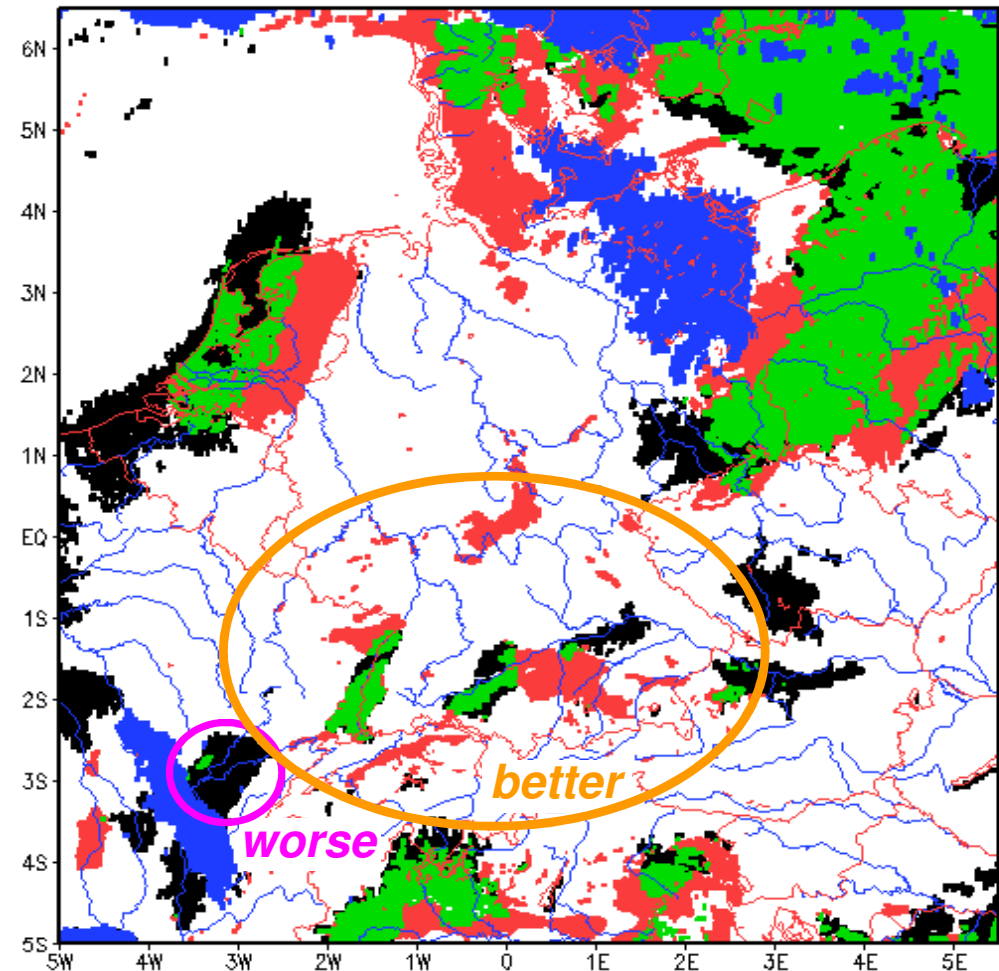
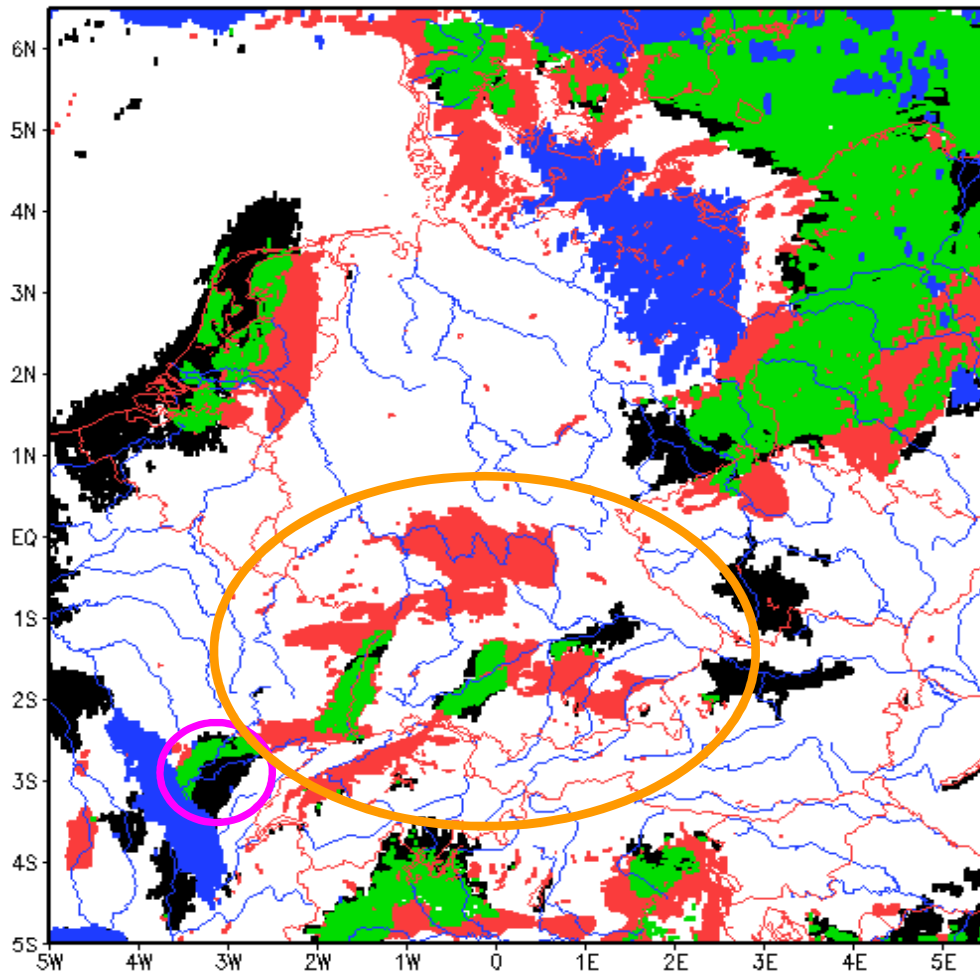
Mode-S aircraft: winter test, low-level cloud (low stratus)

REF

exp_8000.04_HR_det_2016122912+06h
clcl

Mode-S

exp_8000.05_HR_det_2016122912+06h
clcl



Satellite: **hits** / **missed events** / **false alarms** / **undefined** (observed mid-level, high, or fractional cloud)
Model:

missed (black): 14148 false (red): 18256 hits (green): 24156 unclear (blue): 10744 ETS: 0.320 FBI: 1.107

missed (black): 14429 false (red): 16065 hits (green): 23875 unclear (blue): 10744 ETS: 0.337 FBI: 1.042

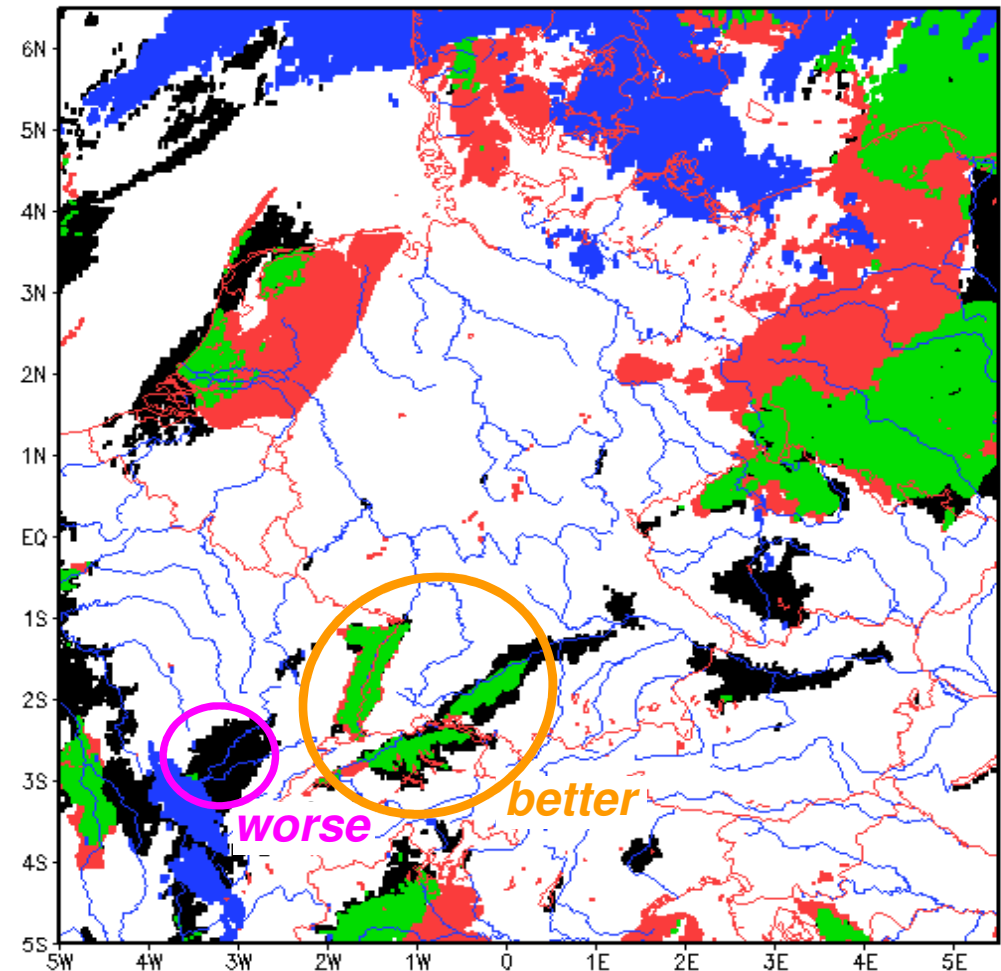
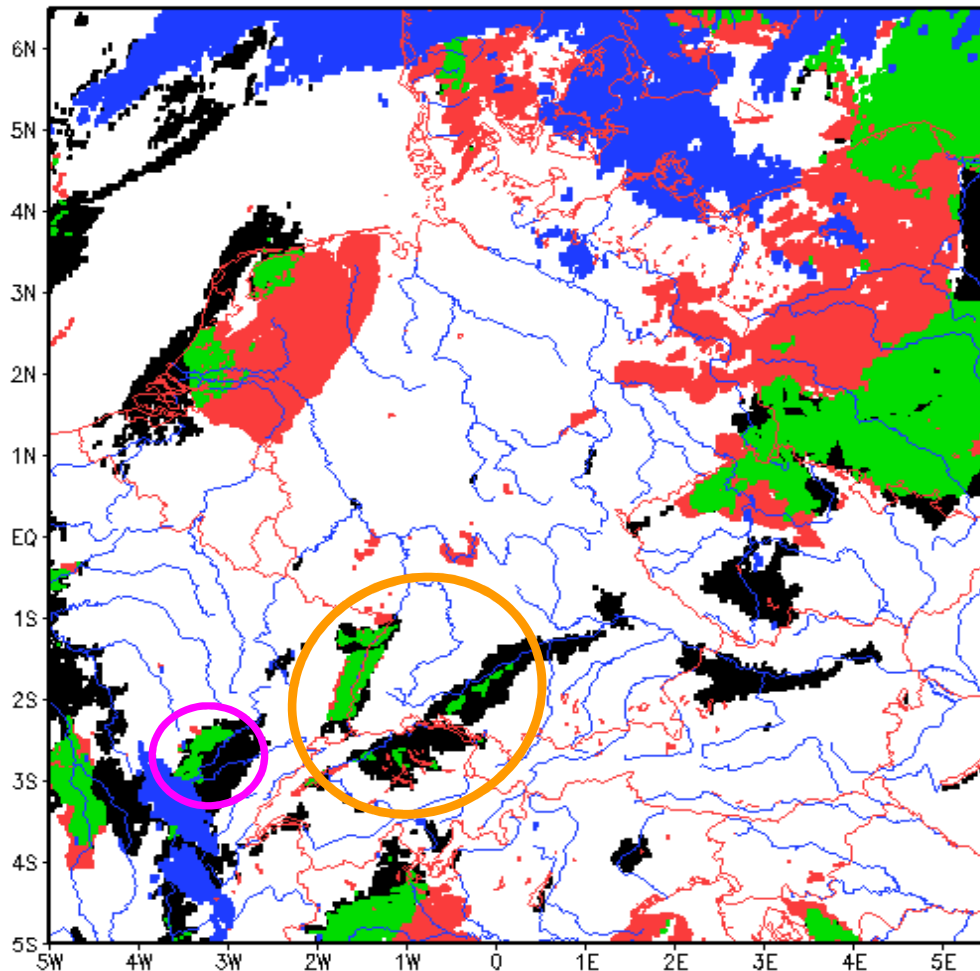
Mode-S aircraft: winter test, low-level cloud (low stratus)

REF

Mode-S

exp_8000.04_HR_det_2016122918+06h
cicl

exp_8000.05_HR_det_2016122918+06h
cicl



Satellite: **hits** / **missed events** / **false alarms** / **undefined** (observed mid-level, high, or fractional cloud)
Model:

missed (black): 14337 false (red): 18040 hits (green): 14081 unclear (blue): 14042

missed (black): 13105 false (red): 17132 hits (green): 15313 unclear (blue): 14042

ETS: 0.217 FBI: 1.130

ETS: 0.252 FBI: 1.141

- ✓ impact tested in summer + winter,
in BACY experiments (data cut-off time not taken into account) + in parallel suite
- ✓ impact varies between neutral and clearly positive
(no single parameter in any test period worse)
- ✓ impact depends on weather situation: clearly positive for
 - precipitation in convective situations with weak advection / large-scale forcing
 - (radiative) low stratus

→ Mode-S operational 4 October 2017 (tomorrow)

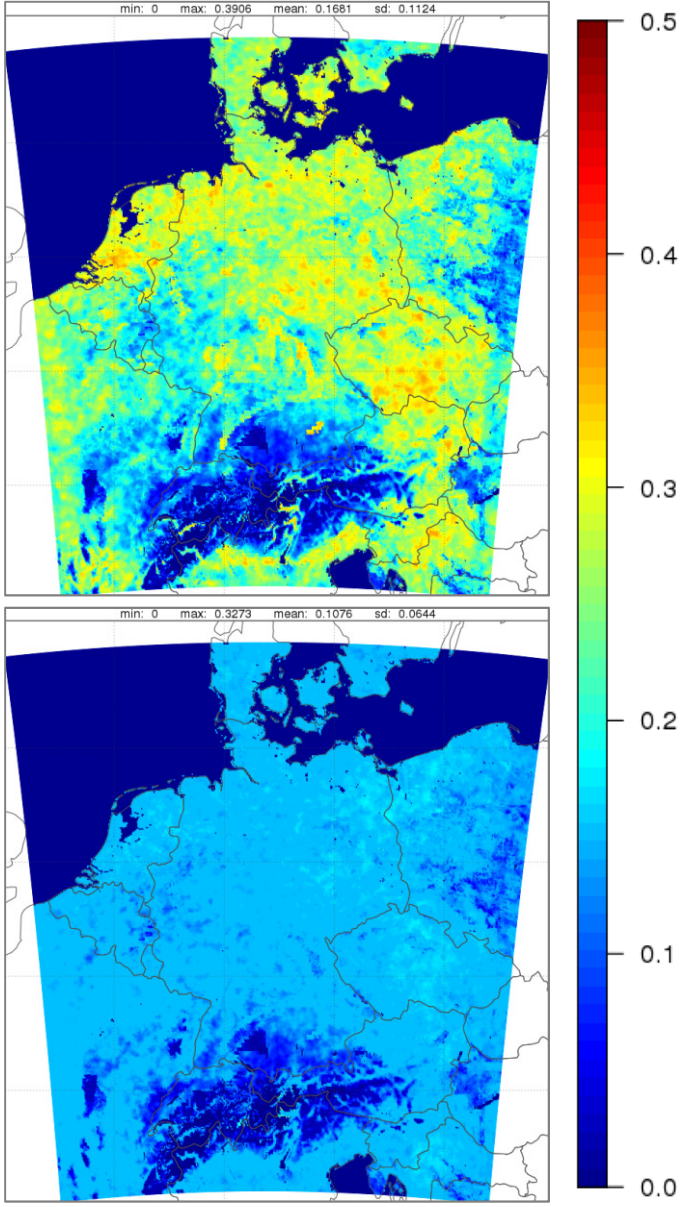
soft limiter of soil moisture perturbations: impact on a sunny day (23 June 2016)

spread of
soil moisture index
(14 UTC)

original
(Exp 10396)

with SMP limiter
(Exp 10416)

soil level 5 : 27 – 81 cm



✓ soft limiter: SMI spread
limited to ~ 0.15 SMI

0-UTC runs

Brier Score decomposition

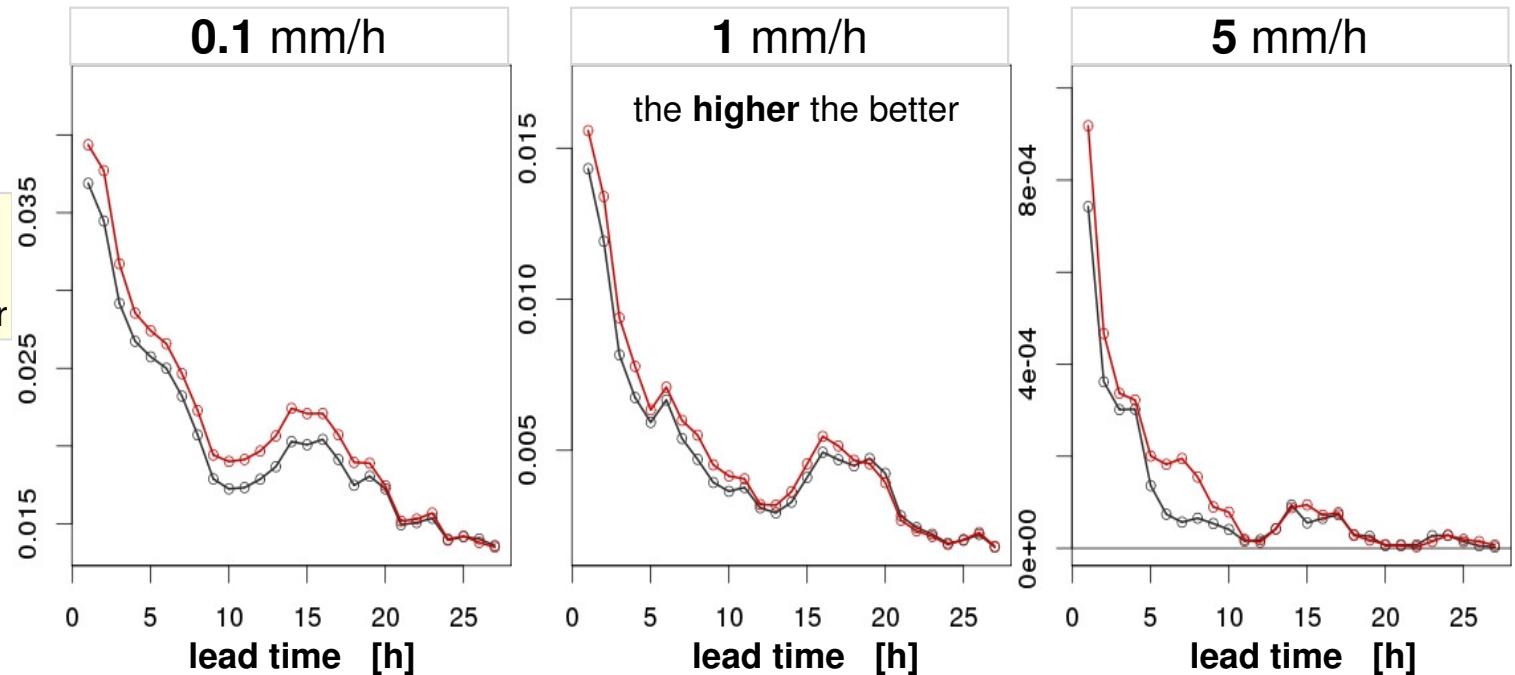
resolution:

ability of forecast to separate one type of outcome from another

original SMP limiter

reliability:

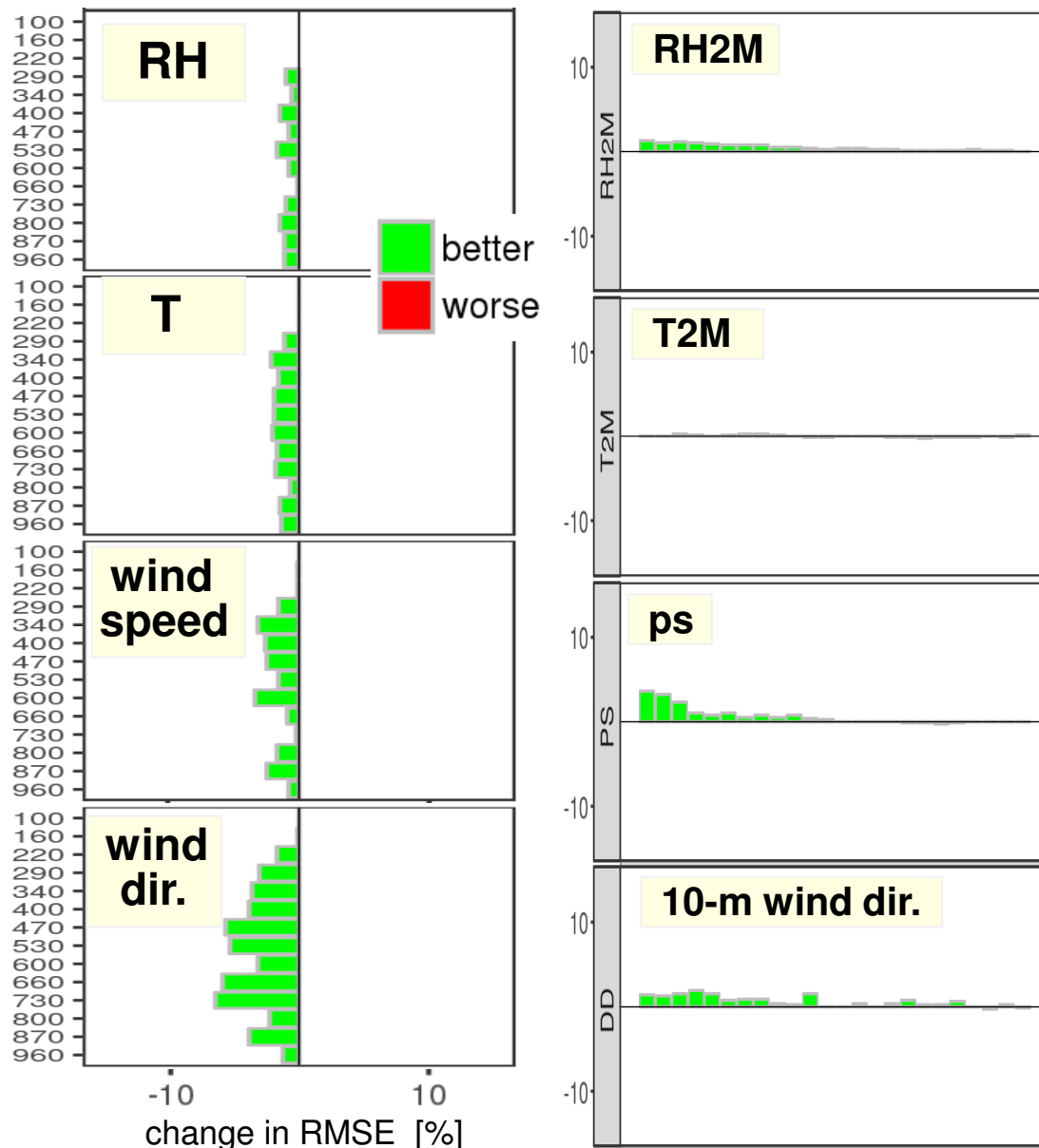
average agreement betw. fcst & obs val.; related to (cond.) bias



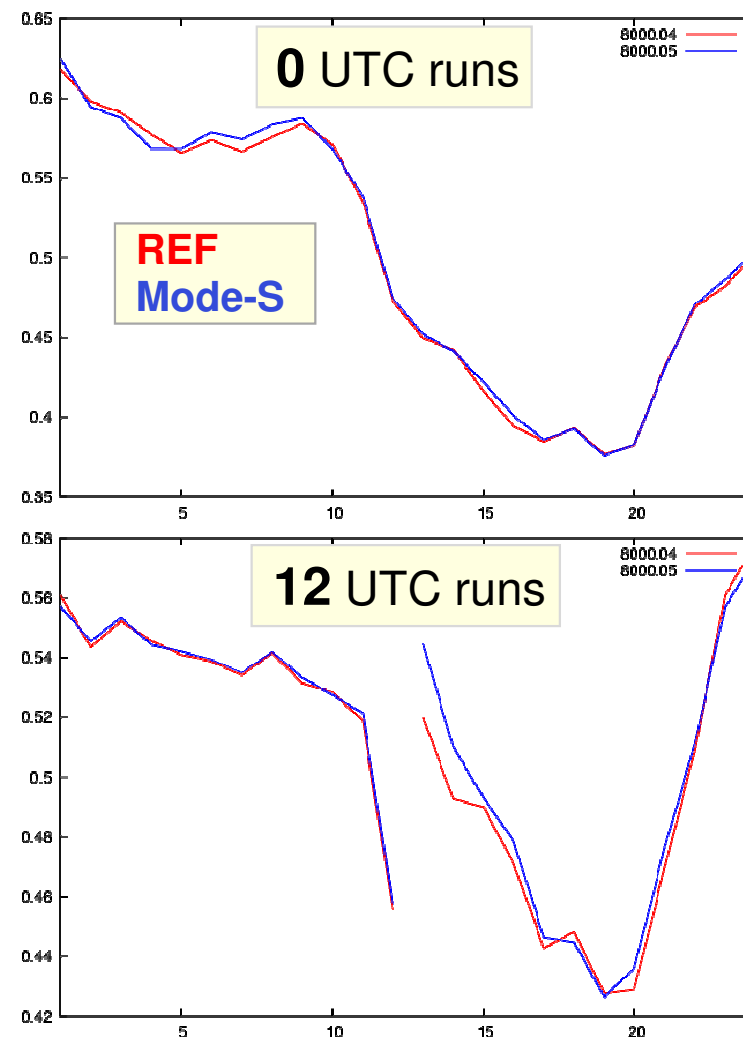
- ✓ better resolution (not susceptible to ensemble calibration)
- ✓ slightly better reliability (except high threshold, not shown)

Mode-S aircraft: winter test, upper-air / surface / radar verif.

Dec 2016 (1 Dec. 2016 – 1 Jan. 2017)



FSS (precip 0.1 mm/h , 30 km)



✓ Dec. 2016: positive impact in upper-air + synop verif.



Task 4.2: Particle Filter (PF) methods (to account for non-Gaussianity)

- hybrid ETKPF (Sylvain Robert (ETH) et al., study finished):
 - 1-week DA with PF for conv. obs in COSMO: f.g. (+1h) slightly improved over LETKF
 - studying several algorithms for adaptive choice for weight of EnKF and PF in analysis
(idea: use PF where useful, fall back on EnKF where Gaussian or PF does not work well)
 - QJRMS: “A local ensemble transform Kalman particle filter for convective scale DA”
- Local adaptive PF (Roland Potthast et al., ongoing):
 - work with global ICON
(improved resampling to create new members)
 - PF runs stably in 1-month tests
scores still about 20% worse than LETKF
 - hybrid PF-Var: using PF ensemble in EnVar for deterministic analysis:
 - forecasts only slightly worse than operational EnVar with ensemble B from LETKF (promising!)

